

# **A sufficient condition of regularity for axially symmetric solutions to the Navier-Stokes equations**

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# Motivation I

$$e_1, e_2, e_3 \leftrightarrow x_1, x_2, x_3 \quad \text{and} \quad e_\rho, e_\varphi, e_3 \leftrightarrow \rho, \varphi, x_3$$

$$e_\rho = \cos \varphi e_1 + \sin \varphi e_2, \quad e_\varphi = -\sin \varphi e_1 + \cos \varphi e_2, \quad e_3 = e_3$$

$$v = v_i e_i = v_1 e_1 + v_2 e_2 + v_3 e_3 = v_\rho e_\rho + v_\varphi e_\varphi + v_3 e_3$$

$$\partial_t v + v \cdot \nabla v - \Delta v + \nabla p = 0, \quad \operatorname{div} v = 0$$

$$v_{\rho,\varphi} = \partial v_\rho / \partial \varphi = 0, \quad v_{\varphi,\varphi} = 0, \quad v_{3,\varphi} = 0, \quad p_{,\varphi} = 0$$

# Motivation II

Ladyzhenskaya (1968), Ukhovskij & Yudovich (1968), Leonardi, Malek, Necas, & Pokorný (1999), Neustupa & Pokorný (2001), Pokorný (2001), Chae & Lee (2002), Zajackowski (2004, 2005), Wiegner & Zajackowski (2005), and others.

For the Cauchy problem on  $]0, T[$ , Chae & Lee (2002) proved

$$\int_0^T dt \left( \int_{\mathbb{R}^3} \frac{1}{\varrho} |v|^\gamma dx \right)^{\frac{\alpha}{\gamma}} < +\infty \Rightarrow \text{regularity}$$

if  $1/\alpha + 1/\gamma \leq 1/2$ ,  $2 < \gamma < +\infty$ ,  $2 < \alpha \leq +\infty$

# Questions

The marginal case  $\gamma = 2$  and  $\alpha = +\infty$ ? which is an analogue of  $L_{3,\infty}$ -case in the absence of axial symmetry.

Local Version?

Notation

$$\begin{aligned} \mathcal{C}(x_0, R) &= \{x \in \mathbb{R}^3 \mid x = (x', x_3), \ x' = (x_1, x_2), \\ &|x' - x'_0| < R, \ |x_3 - x_{03}| < R\}, \quad \mathcal{C}(R) = \mathcal{C}(0, R), \quad \mathcal{C} = \mathcal{C}(1); \\ z &= (x, t), \ z_0 = (x_0, t_0), \quad Q(z_0, R) = \mathcal{C}(x_0, R) \times ]t_0 - R^2, t_0[, \\ Q(R) &= Q(0, R), \quad Q = Q(1). \end{aligned}$$

# Suitable Weak Solutions

$$v \in L_{2,\infty}(Q) \cap W_2^{1,0}(Q), \quad p \in L_{\frac{3}{2}}(Q);$$

$v$  and  $p$  satisfy NSE's in weak sense;

$$\begin{aligned} & \int_B \varphi(x, t) |v(x, t)|^2 dx + 2 \int_{-1}^t \int_B \varphi |\nabla v|^2 dx dt' \\ & \leq \int_{-1}^t \int_B \left( |v|^2 (\Delta \varphi + \partial_t \varphi) + v \cdot \varphi (|v|^2 + 2p) \right) dx dt' \end{aligned}$$

for a.a  $t \in ] - 1, 0[$  and for all functions  $0 \leq \varphi \in C_0^\infty(\mathbb{R}^3 \times \mathbb{R}^1)$  vanishing in a neighborhood of the parabolic boundary of  $Q$ .

# Main Result

**Theorem 0.1** *Let  $v$  and  $p$  be an axially symmetric suitable weak solution to the Navier-Stokes equations in  $Q$ . Assume that*

$$(0.1) \quad \mathcal{A}_0 = \operatorname{ess\,sup}_{-1 \leq t \leq 0} \int_{\mathcal{C}} \frac{1}{\varrho} |v(x, t)|^2 dx < +\infty.$$

*Then the point  $(x, t) = (0, 0)$  is a regular point of  $v$ , i.e., there exists  $r \in ]0, 1]$  such that  $v$  is Hölder continuous in the closure of the cylinder  $Q(r)$ .*

# Preliminaries I

## Invariant Functionals

$$A(z_0, r; v) = \operatorname{ess\,sup}_{t_0 - r^2 < t < t_0} \frac{1}{r} \int_{\mathcal{C}(x_0, r)} |v(x, t)|^2 dx,$$

$$C(z_0, r; v) = \frac{1}{r^2} \int_{Q(z_0, r)} |v|^3 dz,$$

$$E(z_0, r; v) = \frac{1}{r} \int_{Q(z_0, r)} |\nabla v|^2 dz, \quad D(z_0, r; p) = \frac{1}{r^2} \int_{Q(z_0, r)} |p|^{\frac{3}{2}} dz.$$

# Preliminaries II

## Energy Inequality

$$A(z_0, R/2; v) + E(z_0, R/2; v) \leq c(C^{\frac{2}{3}}(z_0, R; v) + C(z_0, R; v) + D(z_0, R; p))$$

## Decay Estimate for Pressure

$$D(z_0, r; p) \leq c \left[ \frac{r}{r_1} D(z_0, r_1; p) + \left( \frac{r_1}{r} \right)^2 C(z_0, r_1; v) \right],$$

which is valid for all  $0 < r \leq r_1 \leq R$



# Preliminaries III

**Lemma 0.2** *Let  $v$  and  $p$  be a suitable weak solution to the Navier-Stokes equations in  $Q$  and let*

$$A_0 = \sup_{0 < r < 1} A(0, r; v) < +\infty.$$

*Then, for any  $r \in ]0, 1/2[$ , we have*

$$C^{\frac{4}{3}}(0, r; v) + D(0, r; p) + E(0, r; v) \leq c \left( (A_0 + 1)r^{\frac{1}{2}} (D(0, 1; p) + E(0, 1; v)) + A_0^4 + A_0^2 + A_0 \right).$$

# Preliminaries IV

**Lemma 0.3** *Under the conditions of Theorem 0.1, we have*

$$A(z_0, r; v) + C(z_0, r; v) + D(z_0, r; p) + E(z_0, r; v) \leq \mathcal{A} < +\infty$$

*for all  $z_0 = (x_0, 0)$ ,  $x_0 = (0, b)$ ,  $|b| \leq 1/4$ , and for all  $0 < r \leq 1/4$ , where  $\mathcal{A}$  depends on  $D(0, 1; p)$ ,  $E(0, 1; v)$ , and  $\mathcal{A}_0$  only.*

$$\mathcal{P}(R_1, R_2; a) = \{x \in \mathbb{R}^3 \mid R_1 < |x'| < R_2, |x_3| < a\}$$

# Preliminaries V

**Lemma 0.4** *Let  $v$  and  $p$  be a suitable weak solution to the NSE's in the set  $\widehat{Q} = \mathcal{P}(3/4, 9/4; 3/2) \times ] - (3/2)^2, 0[$ . Assume that*

$$\int_{\widehat{Q}} |v(z)|^6 dz \leq m < +\infty.$$

*Then, there exists a function  $\Phi_0 : \mathbb{R}_+ \times \mathbb{R}_+ \rightarrow \mathbb{R}_+$ , nondecreasing in each variables, such that*

$$|v(z)| + |\nabla v(z)| \leq \Phi_0(m, \mathcal{A}_*) < +\infty, \quad \mathcal{A}_* = \int_{\widehat{Q}} |p(z)|^{\frac{3}{2}} dz$$

*for any  $z \in \mathcal{P}(1, 2; 1) \times ] - 1, 0[$ .*

# Preliminaries VI

**Lemma 0.5** *Assume that all conditions of Theorem 0.1 hold. Then*

$$\int_{\mathcal{C}} \frac{1}{\varrho} |v(x, t)|^2 dx \leq \mathcal{A}_0$$

*for all  $t \in ]-1, 0[$ .*

# Scaling and Blow up I

Ad absurdum. Let  $z = 0$  is a singular point of  $v$ . Then  $\exists \{R_k\}_{k=1}^{\infty}$  such that  $R_k \rightarrow 0$  as  $k \rightarrow +\infty$  and

$$\frac{1}{R_k^2} \int_{Q(R_k)} |v|^3 dz \geq \varepsilon > 0$$

for all  $k \in \mathbb{N}$ .

$$u^k(y, s) = R_k v(R_k y, R_k^2 s), \quad q^k(y, s) = R_k^2 p(R_k y, R_k^2 s),$$

where  $e = (y, s) \in Q(1/R_k)$ .

# Scaling and Blow up II

$$x_k^b = (0, bR_k), \quad y^b = (0, b), \quad z_k^b = (x_k^b, 0), \quad e^b = (y^b, 0)$$

$$|b|R_k < 1/4, \quad aR_k < 1/4$$

$$C(z_k^b, aR_k; v) = C(e^b, a; u^k) \leq \mathcal{A},$$

$$E(z_k^b, aR_k; v) = E(e^b, a; u^k) \leq \mathcal{A},$$

$$A(z_k^b, aR_k; v) = A(e^b, a; u^k) \leq \mathcal{A},$$

$$D(z_k^b, aR_k; p) = D(e^b, a; q^k) \leq \mathcal{A}$$

for all  $k \geq k_0(a, b)$ .

# Scaling and Blow up III

Limit functions  $u$  and  $q$  satisfy the NSE's on  $\mathbb{R}^3 \times \mathbb{R}_-$ ,  $\mathbb{R}_- = \{s \in \mathbb{R} \mid s \leq 0\}$ . They are called an ancient solution to the NSE's. For any  $a > 0$ ,

$$u^k \rightarrow u \quad \text{in } W_2^{1,0}(Q(a)), \quad u^k \xrightarrow{*} u \quad \text{in } L_{2,\infty}(Q(a)),$$

$$u^k \rightarrow u \quad \text{in } L_3(Q(a)), \quad q^k \rightarrow q \quad \text{in } L_{\frac{3}{2}}(Q(a))$$

and

$$C(e^b, a; u) \leq \mathcal{A}, \quad A(e^b, a; u) \leq \mathcal{A},$$

$$E(e^b, a; u) \leq \mathcal{A}, \quad D(e^b, a; q) \leq \mathcal{A}$$

# Scaling and Blow up IV

$$\operatorname{ess\,sup}_{-\infty < s \leq 0} \int_{\mathbb{R}^3} \frac{|u(y, t)|^2}{|y'|} dy \leq \mathcal{A}_0,$$

$$\frac{1}{R_k^2} \int_{Q(R_k)} |v|^3 dz = \int_Q |u^k|^3 de \rightarrow \int_Q |u|^3 de \geq \varepsilon,$$

and, for any  $a > 1$ ,

$$u^k \rightarrow u \quad \text{in } C([-1, 0]; L_{\frac{9}{8}}(\mathcal{C}(a))).$$



# Scaling and Blow up V

$$\left( \int_{\mathcal{P}(r_1, r_2; h)} |u(y, 0)|^{\frac{9}{8}} dy \right)^{\frac{8}{9}} \leq \left( \int_{\mathcal{P}(r_1, r_2; h)} |u^k(y, 0) - u(y, 0)|^{\frac{9}{8}} dy \right)^{\frac{8}{9}} +$$
$$+ \left( \int_{\mathcal{P}(r_1, r_2; h)} |u^k(y, 0)|^{\frac{9}{8}} dy \right)^{\frac{8}{9}} = \alpha_k + \beta_k,$$

$$\alpha_k \rightarrow 0$$

# Scaling and Blow up VI

$$\begin{aligned}\beta_k &= \left( R_k^{-\frac{15}{8}} \int_{\mathcal{P}(R_k r_1, R_k r_2; R_k h)} |v(x, 0)|^{\frac{9}{8}} dx \right)^{\frac{8}{9}} \leq \\ &\leq c(r_1, r_2, h) \left( \frac{1}{R_k} \int_{\mathcal{P}(R_k r_1, R_k r_2; R_k h)} |v(x, 0)|^2 dx \right)^{\frac{1}{2}} \leq \\ &\leq c(r_1, r_2, h) \left( \int_{\mathcal{P}(R_k r_1, R_k r_2; R_k h)} \frac{|v(x, 0)|^2}{|x'|} dx \right)^{\frac{1}{2}} \rightarrow 0 \\ &\Rightarrow u(\cdot, 0) = 0 \quad \text{in } \mathbb{R}^3.\end{aligned}$$

# Estimates of Axially Sym Solutions I

**Proposition 0.6** *Let  $V$  and  $P$  be a sufficiently smooth axially symmetric solution to the Navier-Stokes equations in  $\tilde{Q} = \tilde{\mathcal{P}} \times ]-2^2, 0[$ , where  $\tilde{\mathcal{P}} = \mathcal{P}(1/4, 3; 2)$ . Then, there exists a non-decreasing function  $\Phi : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  such that*

$$\sup_{z \in \mathcal{P}(1,2;1) \times ]-1,0[} \left( |V(z)| + |\nabla V(z)| \right) \leq \Phi(\mathcal{A}_2),$$

where

$$\mathcal{A}_2 = \sup_{-2^2 < t < 0} \int_{\tilde{\mathcal{P}}} |V(x, t)|^2 dx + \int_{\tilde{Q}} \left( |\nabla V|^2 + |V|^3 + |P|^{\frac{3}{2}} \right) dz.$$

# Estimates of Axially Sym Solutions II

**Lemma 0.7** *Under assumptions of Proposition 0.6, there exists a function  $\Phi_1 : \mathbb{R}_+ \times \mathbb{R}_+ \rightarrow \mathbb{R}_+$ , non-decreasing in each variable, such that*

$$(0.2) \quad \sup_{-(7/4)^2 < t < 0} \int_{\tilde{\mathcal{P}}_1} |V^a(x, t)|^q dx \leq \Phi_1(q, \mathcal{A}_2), \quad 1 \leq q < \infty.$$

*Here,  $V^a = (V_\varrho, V_3)$ ,  $|V^a| = \sqrt{|V_\varrho|^2 + |V_3|^2}$ ,  
 $\tilde{\mathcal{P}}_1 = \mathcal{P}(5/16, 11/4; 7/4)$ , and  $\tilde{\mathcal{Q}}_1 = \tilde{\mathcal{P}}_1 \times ] - (7/4)^2, 0[$ .*

# Estimates of Axially Sym Solutions III

**Lemma 0.8** *Under assumptions of Proposition 0.6, there exists a non decreasing function  $\Phi_5 : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  such that*

$$\int_{\tilde{Q}_2} |V_\varphi|^6 dz \leq \Phi_5(\mathcal{A}_2),$$

where  $\tilde{Q}_2 = \tilde{\mathcal{P}}_2 \times ] - (3/2)^2, 0[$  and  $\tilde{\mathcal{P}}_2 = \mathcal{P}(3/8, 5/2; 3/2)$ .

**Corollary 0.9** *Under assumptions of Proposition 0.6, there exists a non-decreasing function  $\Phi_6 : \mathbb{R}_+ \rightarrow \mathbb{R}_+$  such that*

$$\int_{\tilde{Q}_2} |V|^6 dz \leq \Phi_6(\mathcal{A}_2).$$

# Decay I

Given  $R > 1$ ,

$$\tilde{Q}_R^b = \tilde{\mathcal{P}}_R^b \times ] - (2R)^2, 0[ ,$$

where  $b \in \mathbb{R}$  and

$$\tilde{\mathcal{P}}_R^b = \tilde{\mathcal{P}}_R + be_3, \quad \tilde{\mathcal{P}}_R = \mathcal{P}(R/4, 3R; 2R).$$

Scale ancient solution  $u$  and  $q$  in the following way

$$u^R(x, t) = Ru(Rx + be_3, R^2t), \quad q^R(x, t) = R^2q(Rx + be_3, R^2t)$$

for  $z = (x, t) \in \tilde{Q}$ .

# Decay II

$$\sup_{z \in \tilde{Q}_0} \left\{ |u^R(z)| + |\nabla u^R(z)| \right\} \leq \Phi(\mathcal{A}_2),$$

where  $\tilde{Q}_0 = \mathcal{P}(1, 2; 1)$  and

$$\mathcal{A}_2 = \sup_{-2^2 \leq t \leq 0} \int_{\tilde{\mathcal{P}}} |u^R(x, t)|^2 dx + \int_{\tilde{Q}} \left( |\nabla u^R|^2 + |u^R|^3 + |q^R|^{\frac{3}{2}} \right) dz.$$

# Decay III

$$\sup_{(y,s) \in Q_R^b} \left\{ R|u(y,s)| + R^2|\nabla u(y,s)| \right\} \leq \Phi(c\mathcal{A}),$$

where  $Q_R^b = \tilde{\mathcal{P}}_{0R}^b \times ]-R^2, 0[$ ,  $\tilde{\mathcal{P}}_{0R}^b = be_3 + \mathcal{P}_{0R}$ ,  
 $\mathcal{P}_{0R} = \mathcal{P}(R, 2R; R)$

$$\Rightarrow |y'| |u(y', b, s)| + |y'|^2 |\nabla u(y', b, s)| \leq \Phi(c\mathcal{A})$$

for any  $b \in \mathbb{R}$ , for any  $|y'| > 20$ , and for any  $s \in [-20, 0]$

$$\Rightarrow |u(y, s)| + |\nabla u(y, s)| \leq c\Phi(c\mathcal{A}) = c(\mathcal{A})$$

for any  $|y'| > 20$  and for any  $s \in [-20, 0]$



# Backward Uniqueness

$$\omega(u) = \nabla \wedge u \quad \Rightarrow \quad \partial_t \omega - \Delta \omega = \omega \cdot \nabla u - u \cdot \nabla \omega$$

So,

$$|\partial_t \omega - \Delta \omega| \leq c(\mathcal{A})(|\omega| + |\nabla \omega|), \quad |\omega| \leq c(\mathcal{A})$$

for any  $|y'| > 20$  and for any  $s \in [-20, 0]$  and

$$\omega(\cdot, 0) = 0 \quad \text{in } \mathbb{R}^3$$

$$\Rightarrow \quad \omega(y, s) = 0$$

for any  $|y'| > 20$  and for any  $s \in [-20, 0]$ .

# Unique Continuation I

$$\nabla \wedge u \equiv 0 \quad \text{in} \quad \mathbb{R}^3 \setminus \{y' \neq 0\} \times [-8, 0]$$

# Unique Continuation II

$$\mathcal{A}_0 \geq \operatorname{ess\,sup}_{-20 \leq s \leq 0} \int_{|y'| \leq 40} \frac{|u(y, s)|^2}{|y'|} dy$$

$$\Rightarrow \int_{-\infty}^{+\infty} dy_3 \int_{|y'| \leq 40} \frac{|u(y, s)|^2}{|y'|} dy' \leq \mathcal{A}_0 < +\infty,$$

for any  $s \in S \subset [-20, 0]$  and  $|S| = 20$ .

$$\Rightarrow \nabla \wedge u(\cdot, s) \equiv 0 \quad \text{in } \mathbb{R}^3$$

for any  $s \in S$ .

# Unique Continuation III

For any  $y_0 \in \{|y'| \leq 30, y_3 \in \mathbb{R}\}$ ,

$$B(y_0, 1) \subset \{|y'| \leq 40, y_3 \in \mathbb{R}\}$$

and, since  $u$  is harmonic,

$$\begin{aligned} |u(y_0, s)| &\leq c \left( \int_{B(y_0, 1)} |u(y, s)|^2 dy \right)^{\frac{1}{2}} \leq c \left( \int_{|y'| \leq 40} |u(y, s)|^2 dy \right)^{\frac{1}{2}} \leq \\ &\leq c \sqrt{40 \mathcal{A}_0} \end{aligned}$$

for any  $s \in S \cap [-8, 0] \Rightarrow u(\cdot, s)$  is bounded in  $\mathbb{R}^3$  for any  
 $s \in S \cap [-8, 0] \Rightarrow u(\cdot, s) = 0$  in  $\mathbb{R}^3$  for any  $s \in S \cap [-8, 0]$ .