

Coarsening dynamics during the growth of one-dimensional interfaces

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Outline

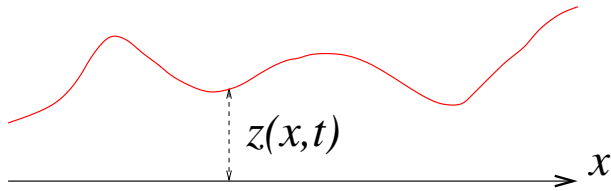
One dimensional interfaces

- Growth equations in crystal growth (MBE)
- Rigorous formulation of the problem:
generalized Ginzburg-Landau (nonconserved) and
Cahn-Hilliard (conserved) equations

Stationary configurations and dynamical properties:
coarsening $\iff \partial_A \lambda > 0$

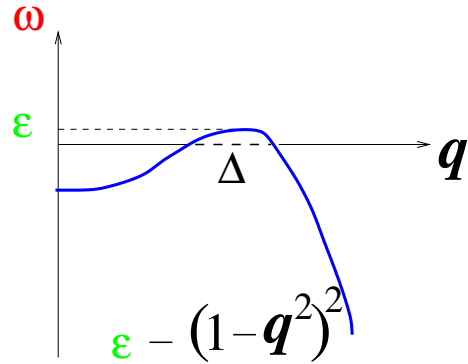
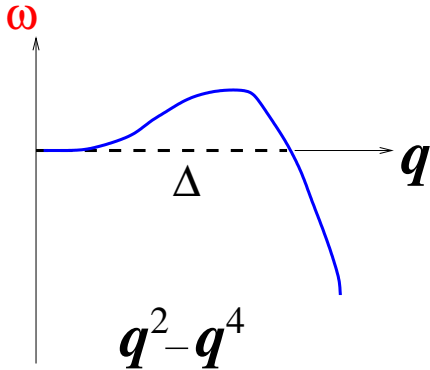
- The pseudo free energy \mathcal{F}
- The phase diffusion equation

Time dependence of coarsening



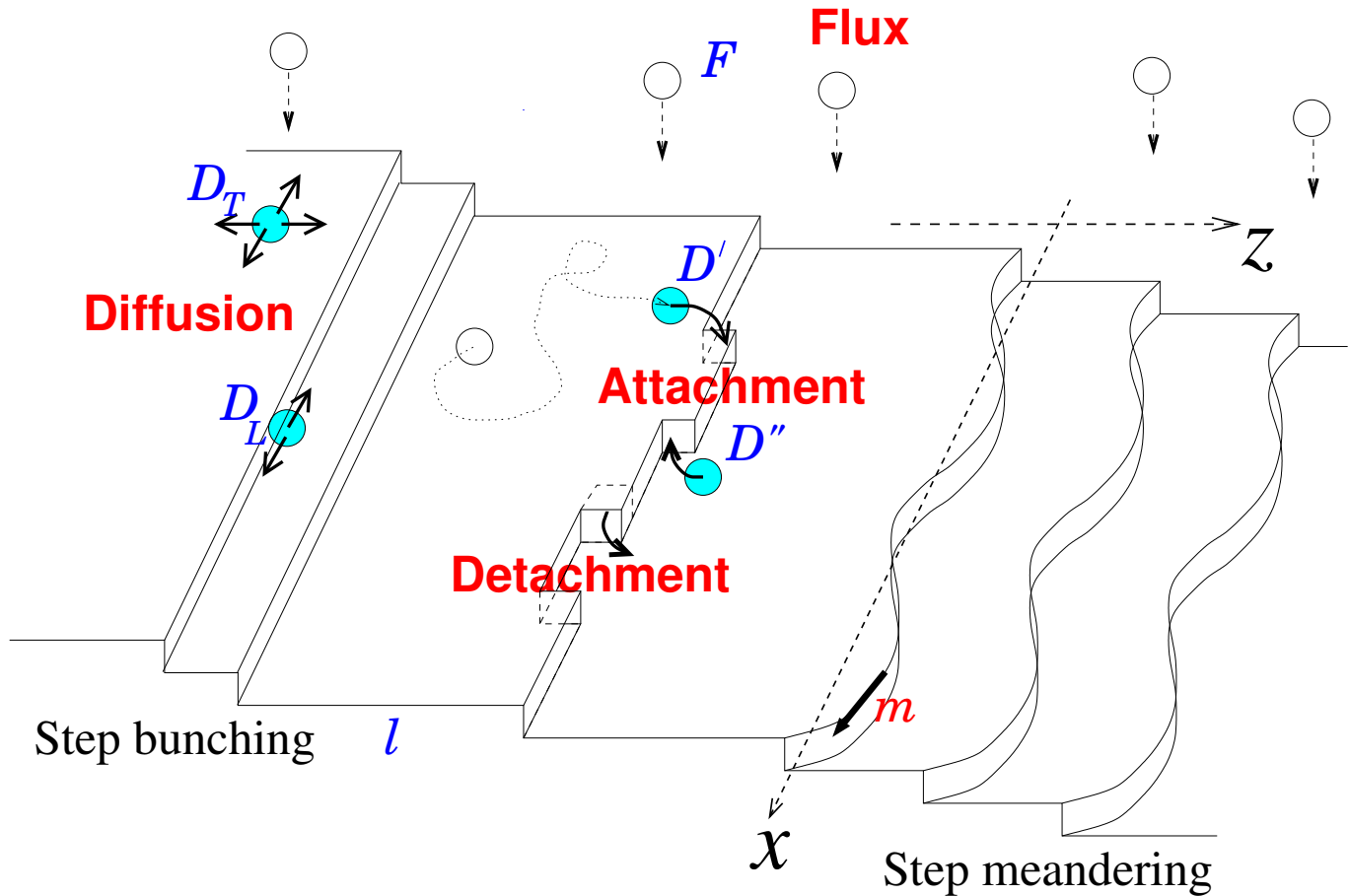
$$\partial_t z(x, t) = \mathcal{A}(\{z(x, t)\})$$

$$z(x, t) = z_0 + \delta z \exp(iqx + \omega t)$$



- Perpetual coarsening: $\lambda(t) \rightarrow \infty$
- Fixed wavelength: $\lambda(t) \sim \lambda_c$
 - Diverging amplitude: $A(t) \rightarrow \infty$
 - Oscillating/Chaotic amplitude
- Interrupted coarsening: $\lambda(t) \nearrow$ up to λ^*

Is it possible to establish
a priori criteria for coarsening ?



$$\partial_t z(x, t) = -\partial_x j = -\partial_x \{ B(m) + G(m) \partial_x [C(m) \partial_x m] \}$$

In the limit of small slopes ($m \equiv \partial_x z \ll 1$):

$$\partial_t z = -B'(0) \partial_x^2 z - G(0)C(0) \partial_x^4 z$$

$$z(x, t) = \exp(\omega t + i q x) \quad \omega(q) = B'(0) q^2 - G(0)C(0) q^4$$

Stationary configurations: $j = 0$

$$M(m) = \int^m ds C(s) \implies B(M) + G(M) \partial_{xx} M = 0$$

$$V(M) = \int dM \frac{B(M)}{G(M)}$$

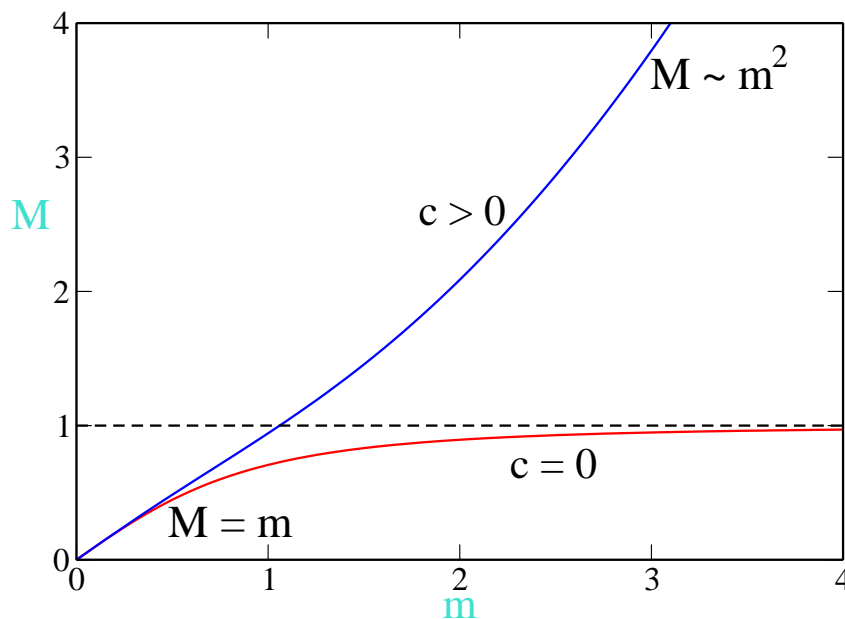
$$B(m) = \frac{m}{1+m^2} \quad G(m) = \frac{1 + \beta\sqrt{1+m^2}}{(1+\beta)(1+m^2)}$$

$$C(m) = \frac{1 + c(1+m^2)(1+2m^2)}{(1+c)(1+m^2)^{3/2}}$$

$$\beta = \frac{D_{\perp} a}{D_{\parallel} \ell} \equiv \frac{\text{line diffusion}}{\text{terrace diffusion}}$$

$$c \sim \frac{E a^4}{\tilde{\gamma} \ell^2} \equiv \frac{\text{step-step elastic interaction}}{\text{step stiffness}}$$

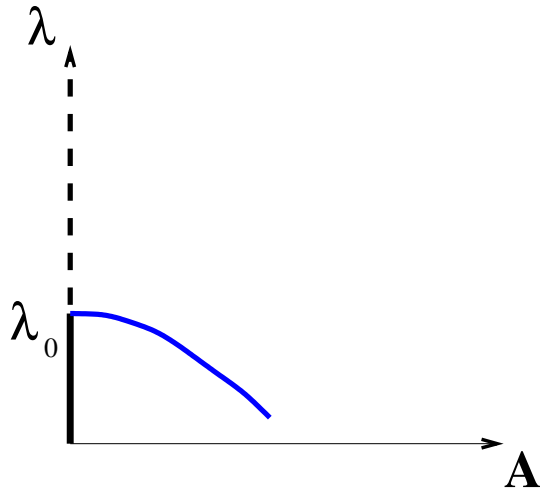
$$M(m) = \frac{m}{1+c} \frac{1+c(1+m^2)}{(1+m^2)^{1/2}}$$



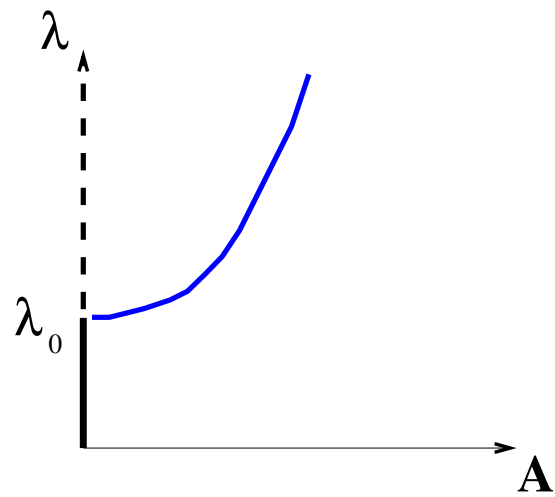
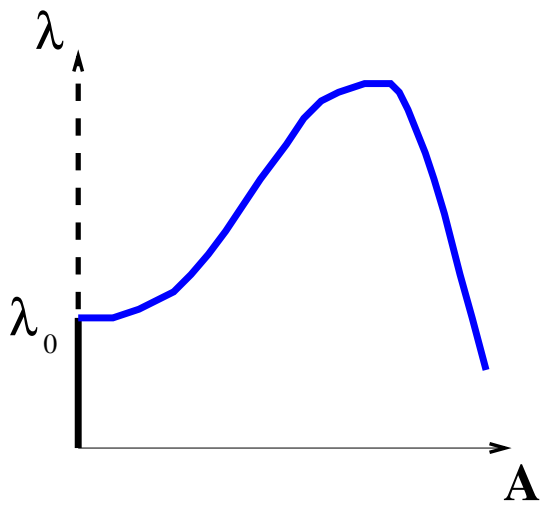
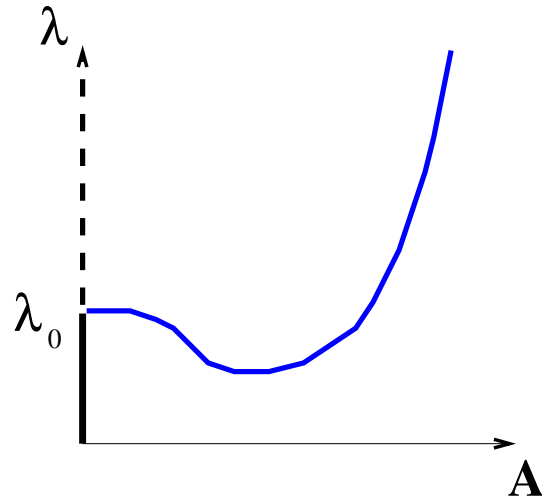
- A particle oscillating in the potential $V(M)$

What about $\lambda(A)$?

$$c = 0$$



$$0 < c < 1/(1+2\beta)$$



Anisotropy effects

$$c > 1/(1+2\beta)$$

[Danker et al., PRE 68, 020601 (2003)]

The generalized models ...

$$\partial_t u = \partial_x^2 u - F(u) \equiv L[u]$$

nonconserved
(generalized GL eq.)

$$\partial_t u = -\partial_x^2 [\partial_x^2 u - F(u)] \equiv -\partial_x^2 L[u]$$

conserved
(generalized CH eq.)

Mechanical analogy:

Steady states satisfy the relation $\partial_x^2 u - F(u) = 0$

... and the pseudo free energy

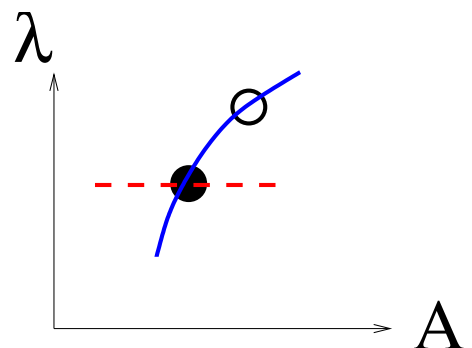
$$\partial_x^2 u - F(u) = -\delta \mathcal{F} / \delta u$$

$$\mathcal{F}[u(x, t)] = \int dx \left[\frac{1}{2} (\partial_x u)^2 - V(u) \right], \quad F(u) = -V'(u)$$

$$d\mathcal{F}/dt \leq 0 \quad \text{for GL \& CH models}$$

What about

- $\mathcal{F}[u_\lambda(x)]$ vs λ
- $\mathcal{F}[(1 + \delta)u_\lambda(x)]$ vs δ



- $\mathcal{F}[u_\lambda(x)]$ vs λ

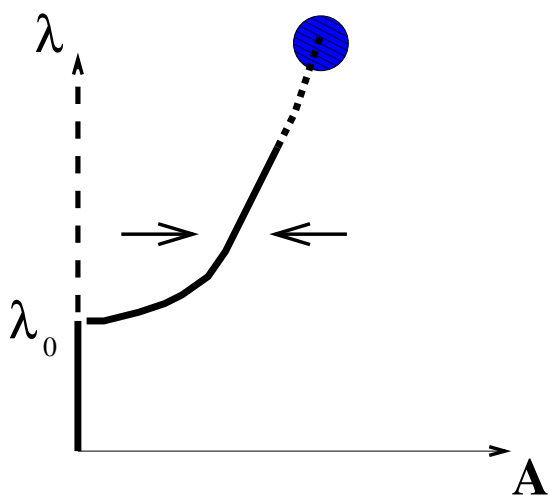
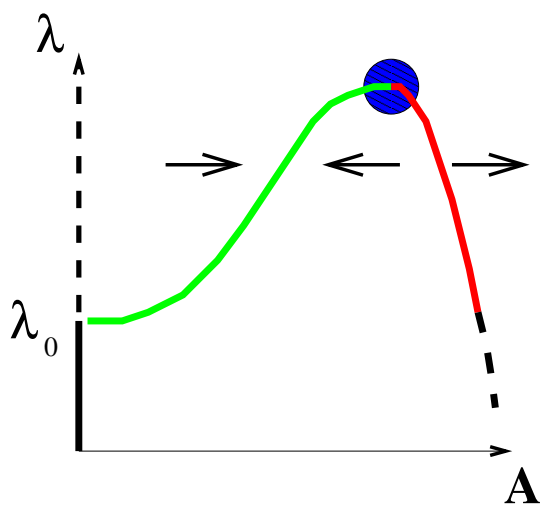
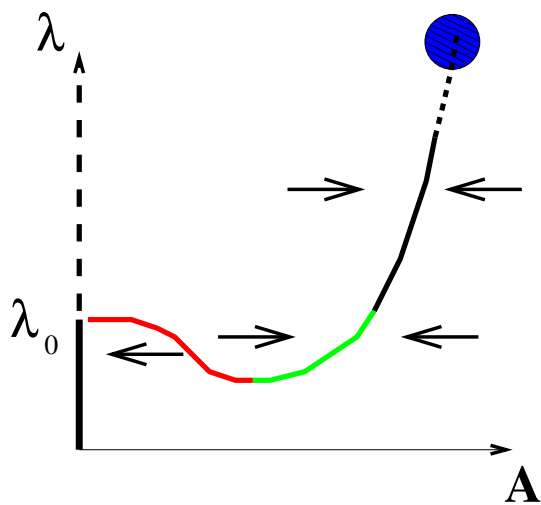
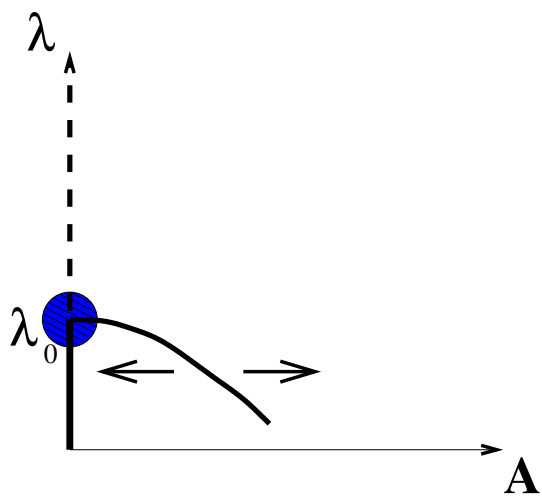
$$\mathcal{F}[u_\lambda(x)] = \frac{J}{\lambda} - E$$

$$\frac{d\mathcal{F}}{d\lambda} = -\frac{J}{\lambda^2} + \frac{1}{\lambda} \frac{dJ}{d\lambda} - \frac{dE}{d\lambda} = -\frac{J}{\lambda^2} < 0$$

- $\mathcal{F}[(1 + \delta)u_\lambda(x)]$ vs δ

$$\mathcal{F}[(1 + \delta)u_\lambda(x)] - \mathcal{F}[u_\lambda(x)] =$$

$$\frac{\delta^2}{2} \underbrace{\int dx \left[u_\lambda^2(x) F'(u_\lambda(x)) - u_\lambda(x) F(u_\lambda(x)) \right]}_{\text{same sign as } d\lambda/dA}$$



Phase dynamics of the GL equations

$$\partial_x^2 u_0 - F(u_0) = 0 \quad V(u) = - \int du F(u)$$

$$u_0(x + \lambda) = u_0(x) \quad \phi = qx \quad q = 2\pi/\lambda$$

Phase equation: perturbative treatment of weak distortions of a regular pattern
 $\epsilon \equiv$ smallness of the phase modulation

$$X = \epsilon x \quad T = \epsilon^2 t \quad \psi(X, T) = \epsilon \phi(x, t)$$

$$q(X, T) = \partial_x \phi = \partial_X \psi$$

$$\partial_x \rightarrow q \partial_\phi + \epsilon \partial_X \quad \partial_t \rightarrow \epsilon^2 \partial_T \phi \partial_\phi + \epsilon^2 \partial_T$$
$$u = u_0 + \epsilon u_1 + \dots$$

Zero order: $L[u_0] = q^2 \partial_{\phi\phi} - F(u_0) = 0$

First order: $\tilde{L}[u_1] = f(u_0)$

$$\tilde{L} = q^2 \partial_{\phi\phi} - F'(u_0)$$

$$f(u_0) = \partial_T \psi \partial_\phi u_0 - [\partial_\phi u_0 + 2q \partial_{\phi q} u_0] \partial_{XX} \psi$$

$\tilde{L}[\partial_\phi u_0] = 0 \implies (\partial_\phi u_0)$ and $f(u_0)$ are orthogonal

$$\partial_T \psi = D \partial_{XX} \psi, \quad D = \frac{\partial_q \langle q(\partial_\phi u_0)^2 \rangle}{\langle (\partial_\phi u_0)^2 \rangle} \equiv \frac{D_1}{D_2}$$

$$\langle \dots \rangle = (2\pi)^{-1} \int_0^{2\pi} \dots d\phi$$

$$\langle q(\partial_\phi u_0)^2 \rangle = \frac{1}{2\pi} \int_0^{2\pi/q} d\tau (\partial_\tau u_0)^2 = \frac{J}{2\pi}$$

$$D_1 = \frac{1}{2\pi} \partial_q J = \frac{1}{2\pi} \left(\frac{\partial J}{\partial E} \right) \left(\frac{\partial q}{\partial E} \right)^{-1} = -\frac{\lambda^3}{4\pi^2} \left(\frac{\partial \lambda}{\partial E} \right)^{-1}$$

$$D = -\frac{\lambda^3}{4\pi^2 \langle (\partial_\phi u_0)^2 \rangle} \left(\frac{\partial \lambda}{\partial E} \right)^{-1}$$

Conclusion: The sign of D is always opposite to the sign of $\partial_E \lambda$

$$\partial_E \lambda > 0 \implies D < 0 \quad \text{coarsening}$$

$$\partial_E \lambda < 0 \implies D > 0 \quad \text{phase stability}$$

Phase dynamics of conserved equations

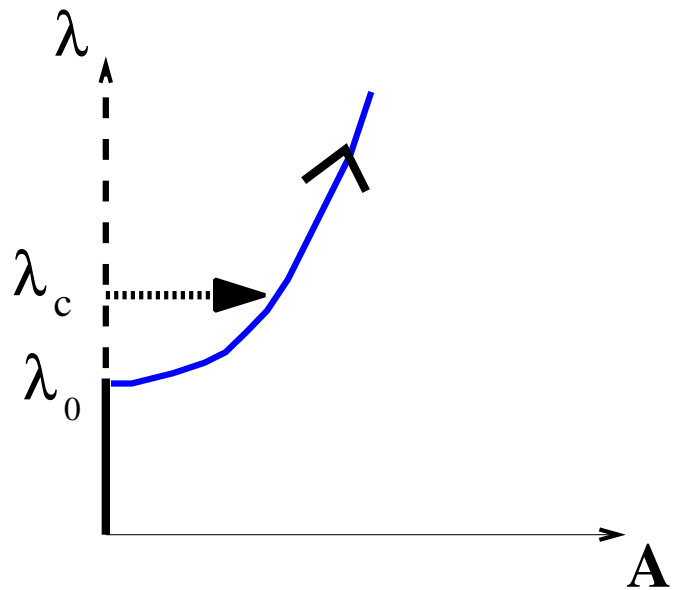
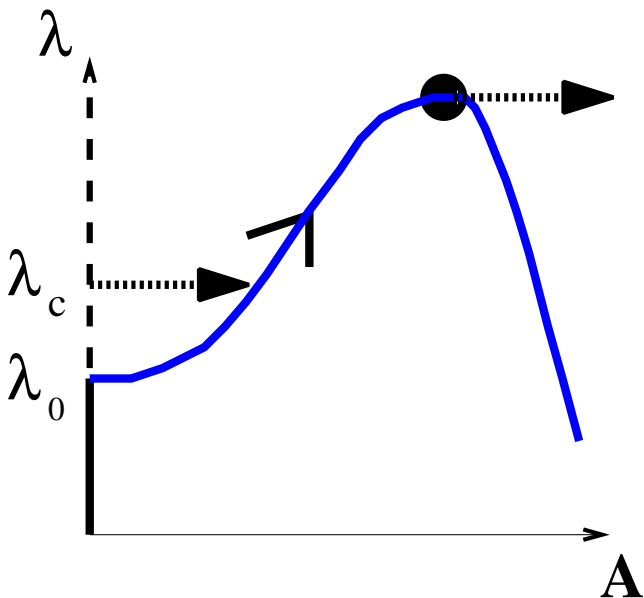
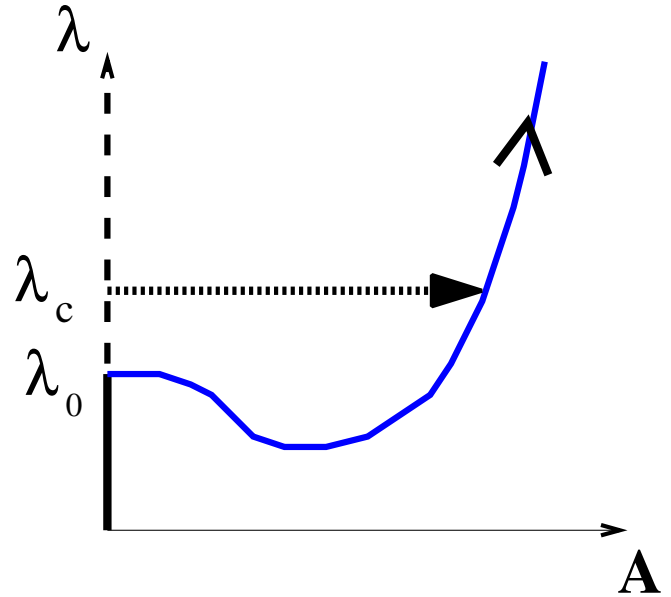
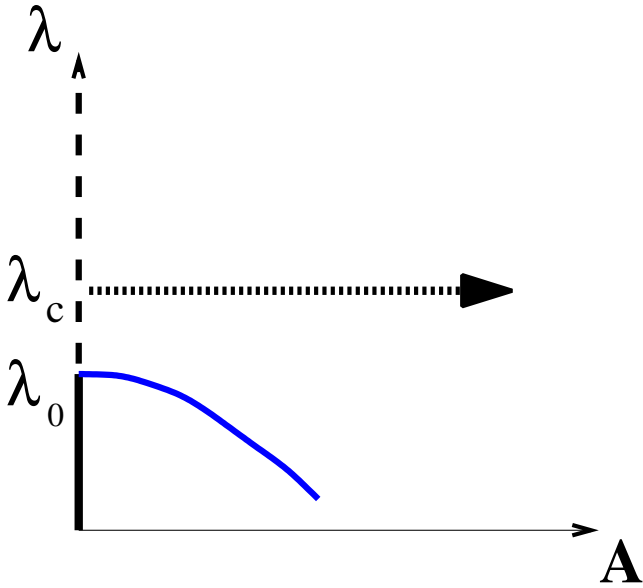
$$D = \frac{q^2 \partial_q \langle q (\partial_\phi u_0)^2 \rangle}{\langle u_0^2 \rangle} = - \frac{\lambda}{\langle u_0^2 \rangle} \left(\frac{\partial \lambda}{\partial E} \right)^{-1}$$

Conclusion: Coarsening takes place
if and only if $\partial_E \lambda > 0$

Steady states and dynamical behavior

Fixed wavelength
Diverging amplitude

Perpetual coarsening



Interrupted coarsening

Perpetual coarsening

Time dependence of coarsening

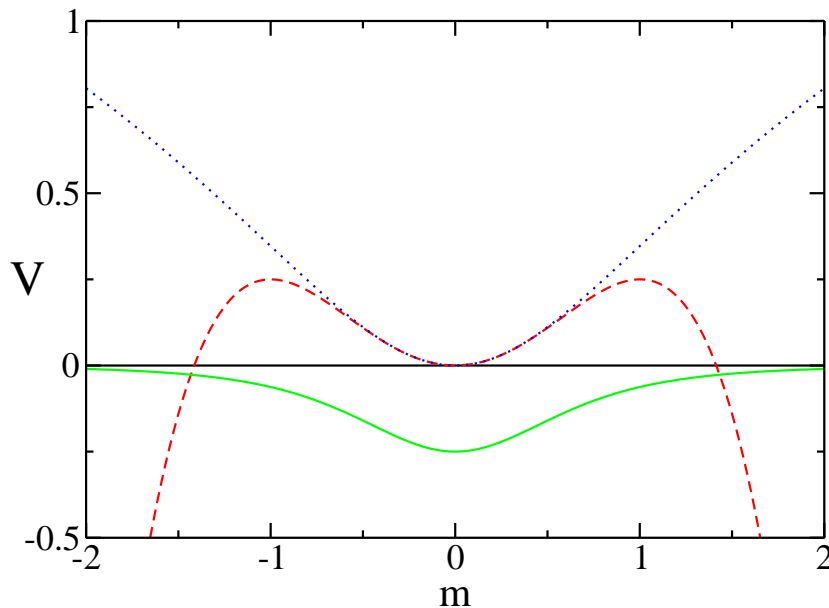
[In collaboration with Alessandro Torcini]

For perpetual coarsening, $\lambda(t) \approx ??$

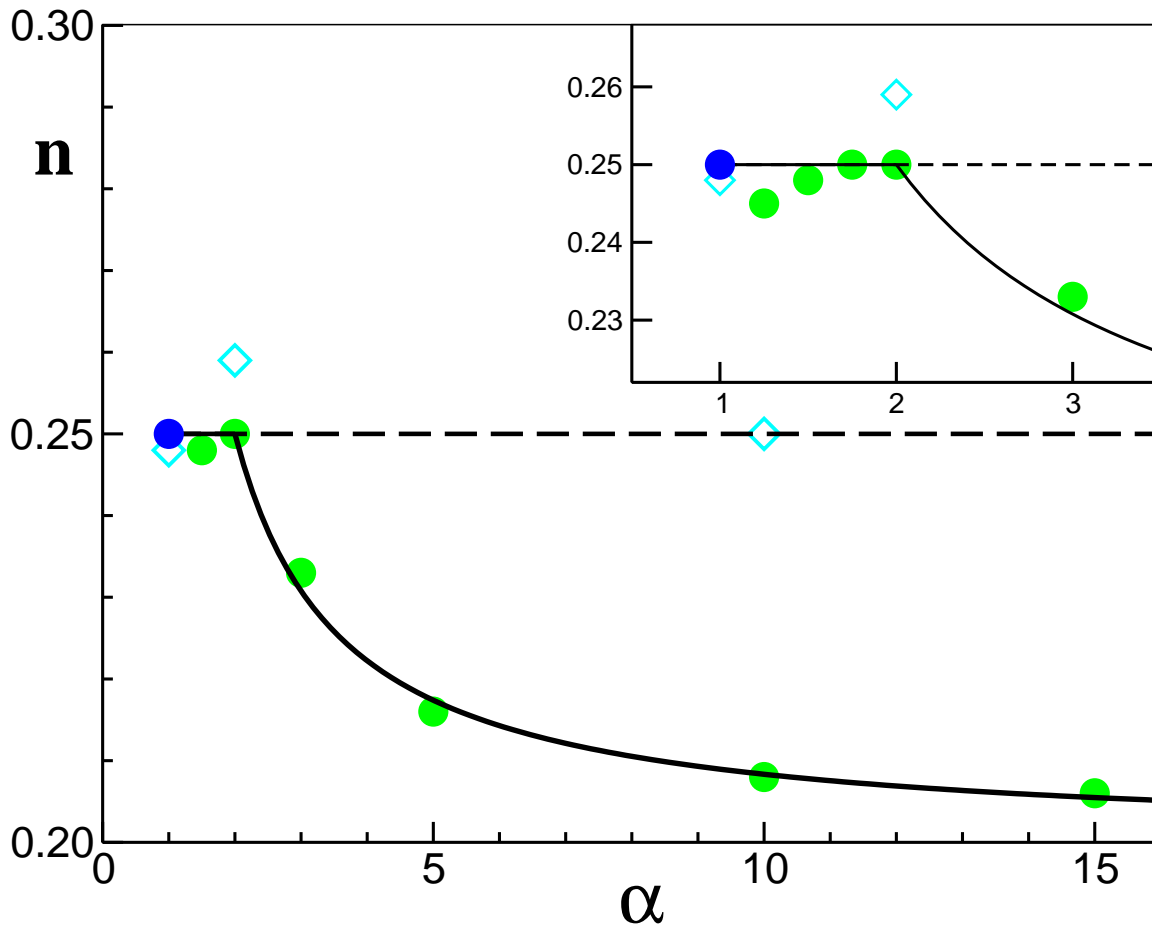
$$\partial_t z(x, t) = -\partial_x j(x, t) + \eta(x, t)$$

$$j(x, t) = \begin{cases} \partial_x^2 m + m(1 - m^2) & \text{model 0} \\ \partial_x^2 m + \frac{m}{(1+m^2)^\alpha} & \text{model } \alpha \end{cases}$$

$$V(m) = \begin{cases} m^2/2 - m^4/4 & \text{model 0} \\ (1/2) \ln(1 + m^2) & \text{model 1} \\ -(1 + m^2)^{1-\alpha} / [2(\alpha - 1)] & \text{model } \alpha > 1 \end{cases}$$



The coarsening exponent



[A. Torcini and P. Politi, Eur. Phys. J. B **25**, 519 (2002)]

Conclusions

- Steady state properties \iff Dynamical behavior
- Coarsening occurs if and only if $d\lambda/dA > 0$

Perspectives

- How general this relation is ?

$$\partial_t u = -\partial_x^2 [\partial_x^2 u + u - u^3] + \nu u \partial_x u \quad \omega = q^2 - q^4$$

$$\partial_t u = \epsilon u - (1 + \partial_x^2)^2 u - u^3 \quad \omega = \epsilon - (1 - q^2)^2$$

- Is it possible to gain information on $L(t)$ from the phase diffusion equation ?