## ANALYTICITY AND DECAY ESTIMATES OF THE NAVIER STOKES EQUATIONS IN CRITICAL BESOV SPACES

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ABSTRACT. In this paper, we establish analyticity of the Navier-Stokes equations with small data in critical Besov spaces  $\dot{B}_{p,q}^{\frac{3}{p}-1}$ . The main method is so-called Gevrey estimates, which is motivated by the work of Foias and Temam [19]. We show that mild solutions are Gevrey regular, i.e. the energy bound  $\|e^{\sqrt{t}\Lambda}v(t)\|_{E_p} < \infty$  holds in  $E_p := \tilde{L}^{\infty}(0,T;\dot{B}_{p,q}^{\frac{3}{p}-1}) \cap \tilde{L}^1(0,T;\dot{B}_{p,q}^{\frac{3}{p}+1})$ , globally in time for  $p < \infty$ . We extend these results for the intricate limiting case  $p = \infty$  in a suitably designed  $E_{\infty}$  space. As a consequence of analyticity, we obtain decay estimates of weak solutions in Besov spaces.

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#### 1. INTRODUCTION AND STATEMENT OF MAIN RESULTS

It is well-known that regular solutions of many dissipative equations, such as the Navier-Stokes equations, the Kuramoto-Sivashinsky equation, the surface quasi-geostrophic equation and the Smoluchowski equation are in fact analytic, in both space and time variables [4, 14, 18, 36, 49]. In fluid-dynamics, the space analyticity radius has an important physical interpretation: at this length scale the viscous effects and the (nonlinear) inertial effects are roughly comparable. Below this length scale the Fourier spectrum decays exponentially [13, 17, 27, 28]. In other words, the space analyticity radius yields a Kolmogorov type length scale encountered in turbulence theory. At a more practical level, this fact can be used to show that the finite dimensional Galerkin approximations converge exponentially fast in these cases [12]. Other applications of analyticity radius occur in establishing sharp temporal decay

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rates of solutions in higher Sobolev norms [39], establishing geometric regularity criteria for the Navier-Stokes equations, and in measuring the spatial complexity of fluid flow [24, 31, 32].

In this paper, we study analyticity properties of the incompressible Navier-Stokes (NS) equations in  $\mathbb{R}^3$ . The system of equations is given by

(1.1a) 
$$v_t + v \cdot \nabla v - \mu \Delta v + \nabla p = 0,$$

(1.1b) 
$$\nabla \cdot v = 0,$$

where v is the velocity field, p is the pressure, and  $\mu > 0$  is the viscosity coefficient which for simplicity we set  $\mu = 1$ .

Since  $\nabla \cdot v = 0$ , we can rewrite the momentum equation (1.1a) by projecting it onto the divergence-free space. Let  $\mathbb{P} = Id - \nabla(-\Delta)^{-1}div$  be the orthogonal projection of  $L^2$  over divergence-free vector fields. By applying  $\mathbb{P}$  to (1.1a), we obtain

(1.2) 
$$v_t + \mathbb{P}\nabla \cdot (v \otimes v) - \Delta v = 0.$$

Formally, we can express a solution v of (1.2) in the integral form:

(1.3) 
$$v(t) = e^{t\Delta}v_0 - \int_0^t \left[ e^{(t-s)\Delta} \mathbb{P}\nabla \cdot (v \otimes v)(s) \right] ds.$$

Any solution satisfying this integral equation is called a *mild solution*. We can find it by using a fixed point argument for the function  $v \mapsto F(v)$ ,

$$F(v)(t) = e^{t\Delta}v_0 - \int_0^t \left[ e^{(t-s)\Delta} \mathbb{P}\nabla \cdot (v \otimes v)(s) \right] ds.$$

The invariant space for solving this integral equation corresponds to a scaling invariance property of the equation. Assume that (v, p) solves (1.1). Then, the same is true for rescaled functions:

(1.4) 
$$v_{\lambda}(t,x) = \lambda v(\lambda^2 t, \lambda x), \quad p_{\lambda}(t,x) = \lambda^2 p(\lambda^2 t, \lambda x), \quad \lambda > 0.$$

Under these scalings,  $L^3$ ,  $\dot{H}^{\frac{1}{2}}$ ,  $\dot{W}^{\frac{3}{p}-1,p}$  and  $\dot{B}^{\frac{3}{p}-1}_{p,q}$  are critical spaces for initial data (t=0), i.e., the corresponding norms are invariants under these scaling. One can find various well-posedness results for small data in these critical spaces in [6, 7, 9, 21, 29, 30, 40].

The goal of this paper is threefold:

- (i) analyticity of mild solutions in critical Besov spaces,
- (*ii*) decay of Besov norms of weak solutions, and
- (*iii*) a new regularity condition in Besov spaces.

More details of these three topics will be presented in section 1.1, section 1.2, and section 1.3 respectively.

1.1. Analyticity of mild solution. Let us begin with analyticity results of this paper. Compared to previous works by [15, 23, 37] (derivative estimations), [4] ( $l_p$  space on  $\mathbb{T}^3$ ), and [25, 26] (complexified equations), we are able to establish analyticity of the Navier-Stokes equations by obtaining *Gevrey estimates* in Besov spaces  $\dot{B}_{p,q}^{\frac{3}{p}-1}$ . Namely, we will show that a solution  $v(t) \in \dot{B}_{p,q}^{\frac{3}{p}-1}$  satisfies  $\sup_{t>0} \|e^{\sqrt{t}\Lambda}v(t)\|_{\dot{B}_{p,q}^{\frac{3}{p}-1}} < \infty$ , where  $\Lambda$  is the Fourier multiplier whose symbol is given by  $|\xi|_1 = \sum_{i=1}^{3} |\xi_i|$ . We emphasize that here  $\Lambda \equiv \Lambda_1$  is quantified by the  $l^1$  norm

rather than the usual  $l^2$  norm associated with  $\Lambda_2 := (-\Delta)^{\frac{1}{2}}$ . This approach enables one to avoid cumbersome recursive estimation of higher order derivatives.

In order to explain the main idea, we define  $V(t) = e^{\sqrt{t}\Lambda}v(t)$ . Then, V(t) satisfies the following equation:

$$\begin{split} V(t) &= e^{\sqrt{t}\Lambda + t\Delta} v_0 - \int_0^t \left[ e^{[\sqrt{t}\Lambda + (t-s)\Delta]} \mathbb{P}\nabla \cdot \left( e^{-\sqrt{s}\Lambda}V(s) \otimes e^{-\sqrt{s}\Lambda}V(s) \right) \right] ds \\ &= e^{\sqrt{t}\Lambda + t\Delta} v_0 - \int_0^t \left[ e^{[(\sqrt{t}-\sqrt{s})\Lambda + (t-s)\Delta]} \mathbb{P}\nabla \cdot e^{\sqrt{s}\Lambda} (e^{-\sqrt{s}\Lambda}V(s) \otimes e^{-\sqrt{s}\Lambda}V(s)) \right] ds. \end{split}$$

Since  $e^{\sqrt{t}|\xi|_1}$  is dominated by  $e^{-t|\xi|^2}$  for  $|\xi| \gg 1$ , the behavior of the linear term,  $e^{\sqrt{t}\Lambda + t\Delta}v_0$ , closely resembles that of v(t). The estimates of the nonlinear term are similar to those of v(t) due to the nice boundedness property of the bilinear operator  $B_s$ :

$$B_s(f,g) = e^{\sqrt{s\Lambda}} \left( e^{-\sqrt{s\Lambda}} f(s) e^{-\sqrt{s\Lambda}} g(s) \right).$$

As noticed from the above argument, the existence result of v(t) is crucial in establishing Gevrey regularity. Thus, in section 3 (for  $p < \infty$ ) and section 4 (for  $p = \infty$ ), we will first show the existence of a mild solution and then proceed to explain how to modify the existence proof to obtain Gevrey regularity.

Compared to previous works in [19, 39], where they defined Gevrey norms of the form  $||e^{\sqrt{t}\Lambda_2}v(t)||_X$  with a  $L^2$  based space Sobolev space X, the use of  $\Lambda$  instead of  $\Lambda_2$  is fundamental for the estimates of  $B_s$  in  $L^p$  based function spaces. A similar approach using  $\Lambda$  in  $L^p$ -spaces was taken by [34]. However, our results cover a larger Besov class of initial data in the full range of  $p, q \in [1, \infty]$ , and the same method can be applied to other dissipative equations; see for example [1, 2].

We now present our existence/analyticity results for  $p < \infty$  and  $p = \infty$  separately. For notational simplicity, we will suppress the dependence of norms (defined below) on q.

1.1.1. The case  $p < \infty$ . The existence of global-in-time solutions for small data in  $\dot{B}_{p,q}^{\frac{3}{p}-1}$  for  $p < \infty$  was proved by Chemin [9]. The result indicates a gain of two derivatives from the maximal regularity of the heat kernel, which is realized in terms of the function space  $E_p$ ,

$$E_p := \Big\{ u \in \mathscr{S}' : \|u\|_{E_p} = \|u\|_{\tilde{L}^{\infty}_t \dot{B}^{\frac{3}{p}-1}_{p,q}} + \|u\|_{\tilde{L}^1_t \dot{B}^{\frac{3}{p}+1}_{p,q}} < \infty \Big\},$$

where

$$\|u\|_{\tilde{L}^{\infty}_{t}\dot{B}^{\frac{3}{p}-1}_{p,q}} = \left(\sum_{j\in\mathbb{Z}} 2^{j(\frac{3}{p}-1)q} \|\triangle_{j}u\|_{L^{\infty}_{t}L^{p}}^{q}\right)^{\frac{1}{q}}, \quad \|u\|_{\tilde{L}^{1}_{t}\dot{B}^{\frac{3}{p}+1}_{p,q}} = \left(\sum_{j\in\mathbb{Z}} 2^{j(\frac{3}{p}+1)q} \|\triangle_{j}u\|_{L^{1}_{t}L^{p}}^{q}\right)^{\frac{1}{q}}$$

with the usual change for  $q = \infty$ .

**Theorem 1.1** (Existence [9]). Let  $1 \le p < \infty$ ,  $1 \le q \le \infty$  and  $v_0 \in \dot{B}_{p,q}^{\frac{3}{p}-1}$ . There exists a constant  $\epsilon_0 > 0$  such that for all  $v_0 \in \dot{B}_{p,q}^{\frac{3}{p}-1}$  with  $\|v_0\|_{\dot{B}_{p,q}^{\frac{3}{p}-1}} \le \epsilon_0$ , the NS equations (1.2) admit a global-in-time solution  $v \in E_p$ . Moreover, if  $q < \infty$ ,  $v \in C_t \dot{B}_{p,q}^{\frac{3}{p}-1}$ .

The first result of this paper is showing that solutions of Theorem 1.1 are, in fact, analytic in the following sense.

**Theorem 1.2** (Analyticity). There exists a positive constant  $\epsilon_0 > 0$  such that for all  $v_0 \in \dot{B}_{p,q}^{\frac{3}{p}-1}$ with  $||v_0||_{\dot{B}_{p,q}^{\frac{3}{p}-1}} \leq \epsilon_0$ , the NS equations (1.2) admit a solution  $v \in E_p$  such that  $e^{\sqrt{t}\Lambda}v \in E_p$ .

1.1.2. The case  $p = \infty$ . The case of  $\dot{B}_{\infty,q}^{-1}$  data, corresponding to  $p = \infty$ , is much harder because the Navier-Stokes equations are ill-posed in  $\dot{B}_{\infty,q}^{-1}$  for q > 2 ([5, 22, 51]). To circumvent the difficulty in this case, we prove the existence of solutions subject to a restricted class of initial data in  $\dot{B}_{\infty,q}^{-1} \cap \dot{B}_{3,\infty}^{0}$ ,  $1 \le q < \infty$ . The corresponding function space is defined as follows.

$$E_{\infty} := \left\{ u \in \mathscr{S}' : \|u\|_{E_{\infty}} = \|u\|_{\tilde{L}^{\infty}_{t}\dot{B}^{0}_{3,\infty}} + \sup_{t>0} \left[ \|u(t)\|_{\dot{B}^{-1}_{\infty,q}} + t^{\frac{3}{4}} \|u(t)\|_{\dot{B}^{\frac{1}{2}}_{\infty,q}} \right] \right\}.$$

Compared to the time-integrated gain of two derivatives we had in the case  $p < \infty$ , here we have pointwise-in-time gain of regularity of order  $\frac{3}{2}$ , which is realized in  $E_{\infty}$ .

**Theorem 1.3** (Existence). Let  $1 \leq q < \infty$  and  $v_0 \in \dot{B}_{\infty,q}^{-1} \cap \dot{B}_{3,\infty}^0$ . There exists a constant  $\epsilon_0 > 0$  such that for all  $v_0 \in \dot{B}_{\infty,q}^{-1} \cap \dot{B}_{3,\infty}^0$  with  $\|v_0\|_{\dot{B}_{\infty,q}^{-1}} + \|v_0\|_{\dot{B}_{3,\infty}^0} \leq \epsilon_0$ , the NS equations (1.2) admit a global-in-time solution  $v \in E_{\infty}$ .

**Remark 1.1.** We note that one can replace  $\dot{B}_{3,\infty}^0$  with other auxiliary spaces,  $\dot{B}_{p,\infty}^{\frac{3}{p}-1}$ ,  $3 \leq p < \infty$  in Theorem 1.1. We choose the former because it is closely related to spaces appearing in the regularity criterion [16] with initial data in  $L^3$ . Also, we choose  $q < \infty$  to avoid the embedding  $\dot{B}_{3,\infty}^0 \subset \dot{B}_{\infty,\infty}^{-1}$ .

Once we show the existence of a solution of (1.3) in  $E_{\infty}$ , we can show the following analyticity result along the lines of the proof of Theorem 1.2 and Theorem 1.3.

**Theorem 1.4** (Analyticity). There exists a positive constant  $\epsilon_0 > 0$  such that for all  $v_0 \in \dot{B}_{\infty,q}^{-1} \cap \dot{B}_{3,\infty}^0$  with  $\|v_0\|_{\dot{B}_{\infty,q}^{-1}} + \|v_0\|_{\dot{B}_{3,\infty}^0} \leq \epsilon_0$ , the NS equations (1.2) admit a solution  $v \in E_{\infty}$  such that  $e^{\sqrt{t}\Lambda}v \in E_{\infty}$ .

1.2. Decay of weak solution. As an application of analyticity of solutions addressed above, we will estimate decay rates of weak solutions. Most of decay results have been based on  $L^2$  estimations as one can see in [38, 39, 42, 41, 43, 44, 45, 50]. Here, we obtain the decay of weak solutions in Besov spaces  $\dot{B}_{p,q}^{\frac{3}{p}-1}$  for all p. Before presenting our result, we recall the usual notion of a weak solution,  $v(t) \in L_t^{\infty} L^2 \cap L_t^2 \dot{H}^1$ , satisfying (1.2) in the sense of distributions and the additional energy inequality,

$$\|v(t)\|_{L^2}^2 + \int_0^t \|\nabla v(s)\|_{L^2}^2 ds \le \|v_0\|_{L^2}^2.$$

The fundamental theorem of Leray [35] states the existence of such weak solutions for initial data  $v_0 \in L^2$  with  $\nabla \cdot v_0 = 0$ .

We now briefly explain the main idea of the decay estimates: (i) The energy inequality implies that  $\liminf_{t\to\infty} \|v(t)\|_{\dot{H}^1} = 0$ . If we show that Besov norms  $\|v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}}$  can be controlled by  $\dot{H}^1$  norm, then  $\|v(t_0)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}}$  becomes sufficiently small after a certain transient time  $t_0$ . (ii) Theorem 1.2 and Theorem 1.4 tell us that if initial data are sufficiently small in critical spaces  $\dot{B}^{\frac{3}{p}-1}_{p,q}$ , then the solution satisfies the estimate  $\|e^{\sqrt{t}\Lambda}v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}}$  globally in time. Combining these two observation, we will show the following decay estimates of weak solutions in section 5.

**Theorem 1.5** (Decay). Let v be a weak solution of the three dimensional Navier-Stokes equations, subject to initial data  $v_0 \in L^2$  and, in addition  $\omega_0 = \nabla \times v_0 \in L^1$  in case 1 . $Then, there exists a time <math>t_0 > 0$  such that  $\|v(t_0)\|_{\dot{B}^{\frac{3}{p-1}}_{p,q}}$  becomes sufficiently small so that The-

orem 1.2 or Theorem 1.4 hold for  $p < \infty$  and , respectively,  $p = \infty$ . Moreover, the following decay estimate holds for  $\zeta > 0$ ,

$$\|\Lambda_{2}^{\zeta}v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} \leq C_{\zeta}\|v(t_{0})\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}}(t-t_{0})^{-\frac{\zeta}{2}}, \quad C_{\zeta} = \|\Lambda_{2}^{\zeta}e^{-\Lambda}\|_{L^{1}}, \quad \left\{ \begin{array}{l} q \geq 2 \ for \ p \geq 2, \\ q \geq \frac{p}{p-1} \ for \ 1$$

**Remark 1.2.** By the Sobolev embedding, one can easily extend previous  $L^2$  decay results to obtain decay rates in  $L^p$  spaces, p > 2. However, the decay rates for p < 2 are new.

**Remark 1.3.** This decay rate satisfies the Petrowsky parabolicity condition [48].

**Remark 1.4.** The case p = q = 2 corresponds to the upper bound of decay rate in [39]. In that paper, however they assumed the following two conditions to the solution itself: (a) there exist positive real numbers  $M_1$  and  $\gamma$  which may depend on  $v_0$  such that  $\|v(t)\|_{L^2}^2 \leq \frac{M_1}{(1+t)^{\gamma}}$ for all  $t \geq 0$  and (b) for  $r \geq \frac{3}{2}$ ,  $\liminf_{t\to\infty} \|v(t)\|_{H^r} < \infty$ . By contrast, we do not need these two conditions to obtain the decay estimate asserted in theorem 1.5.

1.3. **Regularity condition.** As a related subject, we will provide a Serrin-type regularity criterion in Besov spaces. The Serrin criterion [46] says that the Leray weak solution v is smooth for  $t \in (0,T]$  if  $v \in L^r(0,T;L^s)$ , with  $\frac{2}{r} + \frac{3}{s} = 1$ . We would like to show a new regularity criterion in Besov spaces with less regularity.

**Theorem 1.6.** There exists a smooth solution of the Navier-Stokes equation on the time interval [0, T] for smooth initial data  $v_0$  if on any time interval [T - t, T],

(1.5) 
$$(T-t)^{\frac{1}{q}} \|v(t)\|_{\dot{B}^{\sigma}_{p,\infty}} \begin{cases} \leq C, \quad t < T, \\ \to 0, \quad t \mapsto T, \end{cases} \qquad \frac{2}{q} + \frac{3}{p} - \sigma = 1, \quad 3 \leq p \leq \infty, \ 2 < q < \infty. \end{cases}$$

**Remark 1.5.** Theorem 1.6 generalizes the Serrin's regularity condition and other related results in two aspects: (i)  $\sigma$  can be negative and (ii) for  $\sigma = 0$ ,  $\|v(t)\|_{\dot{B}^0_{n,\infty}} \leq \|v(t)\|_{L^p}$ . **Remark 1.6.** Compared to a new regularity criterion obtained by [10] in  $L^q(0,T; B_{\infty,\infty}^{\frac{2}{q}-1})$  for  $2 < q < \infty$ , where their result is better than our result in the spatial variables by  $\dot{B}_{\infty,\infty}^{\frac{2}{q}-1} \subset B_{\infty,\infty}^{\frac{2}{q}-1}$  for a negative regularity index  $\frac{2}{q}-1$ , our result improves the criterion in the time variable because the time singularity  $(T-t)^{-\frac{1}{q}}$  is in the weak  $L^q$  space, which contains the  $L^q$  space. Moreover, our proof is much simpler. However, our method cannot cover several known results due to the missing end point  $q = \infty$ : for example,  $L^{\infty}(0,T; L^3)$  in [16] and  $C((0,T]; B_{\infty,\infty}^{-1})$  in [10].

## 2. NOTATIONS: THE LITTLEWOOD-PALEY DECOMPOSITION AND PARAPRODUCTS

We begin with some notations.  $L^p(0,T;X)$  denotes the Banach set of Bochner measurable functions f from (0,T) to X endowed with either the norm  $\left(\int_0^T \|f(\cdot,t)\|_X^p dt\right)^{\frac{1}{p}}$  for  $1 \le p < \infty$ or  $\sup_{0 \le t \le T} \|f(\cdot,t)\|_X$  for  $p = \infty$ . For  $T = \infty$ , we use  $L_t^p X$  instead of  $L^p(0,\infty;X)$ . For a sequence  $\{a_j\}_{j\in\mathbb{Z}}, \{a_j\}_{l^q} := \left(\sum_{j\in\mathbb{Z}} |a_j|^q\right)^{\frac{1}{q}}$ , with the usual change for  $q = \infty$ . Finally,  $A \le B$  means there is a constant C such that  $A \le CB$ .

We next provide notation and definitions in the Littlewood-Paley theory. We take a couple of smooth functions  $(\chi, \varphi)$  supported on  $\{\xi; |\xi| \leq 1\}$  with values in [0, 1] such that for all  $\xi \in \mathbb{R}^d$ ,

$$\chi(\xi) + \sum_{j=0}^{\infty} \psi(2^{-j}\xi) = 1, \quad \psi(\xi) = \varphi(\frac{\xi}{2}) - \varphi(\xi)$$

and we denote  $\psi(2^{-j}\xi)$  by  $\psi_j(\xi)$ . The homogeneous dyadic blocks and lower frequency cut-off functions are defined by

with  $h = \mathscr{F}^{-1}\psi$  and  $\tilde{h} = \mathscr{F}^{-1}\chi$ . Then, we can define the homogeneous Littlewood-Paley decomposition by

(2.2) 
$$u = \sum_{j \in \mathbb{Z}} \triangle_j u \quad \text{in} \quad \mathscr{S}'_h;$$

where  $\mathscr{S}'_h$  is the space of tempered distributions u such that  $\lim_{j \to -\infty} S_j u = 0$  in  $\mathscr{S}'$ . Using this decomposition, we define stationary/ time dependent homogeneous Besov spaces as follows:

$$\dot{B}_{p,q}^{s} = \left\{ f \in \mathscr{S}_{h}' \; ; \; \|f\|_{\dot{B}_{p,q}^{s}} := \left( \sum_{j \in \mathbb{Z}} 2^{jsq} \|\Delta_{j}f\|_{L^{p}}^{q} \right)^{\frac{1}{q}} < \infty \right\},$$

$$(2.3) \quad L^{r}(0,T;\dot{B}_{p,q}^{s}) = \left\{ f \in \mathscr{S}_{h}' \; ; \; \|f\|_{L^{r}(0,T;\dot{B}_{p,q}^{s})} := \left\| \left( \sum_{j \in \mathbb{Z}} 2^{jsq} \|\Delta_{j}f\|_{L^{p}}^{q} \right)^{\frac{1}{q}} \right\|_{L^{r}(0,T)} < \infty \right\},$$

$$\tilde{L}^{r}(0,T;\dot{B}_{p,q}^{s}) = \left\{ f \in \mathscr{S}_{h}' \; ; \; \|f\|_{\tilde{L}^{r}(0,T;\dot{B}_{p,q}^{s})} := \left( \sum_{j \in \mathbb{Z}} 2^{jsq} \|\Delta_{j}f\|_{L^{r}(0,T;L^{p})}^{q} \right)^{\frac{1}{q}} < \infty \right\}$$

with the usual change if  $q = \infty$ . According to the Minkowski inequality, we have

(2.4) 
$$\begin{aligned} \|f\|_{\tilde{L}^{r}(0,T;\dot{B}^{s}_{p,q})} &\leq \|f\|_{L^{r}(0,T;\dot{B}^{s}_{p,q})} & \text{if } r \leq q, \\ \|f\|_{\tilde{L}^{r}(0,T;\dot{B}^{s}_{p,q})} &\geq \|f\|_{L^{r}(0,T;\dot{B}^{s}_{p,q})} & \text{if } r \geq q. \end{aligned}$$

The concept of *paraproduct* enables to deal with the interaction of two functions in terms of low or high frequency parts, [8]. For two tempered distributions f and g,

(2.5) 
$$fg = T_f g + T_g f + R(f,g),$$
$$T_f g = \sum_{i \le j-2} \triangle_i f \triangle_j g = \sum_{j \in \mathbb{Z}} S_{j-1} f \triangle_j g, \quad R(f,g) = \sum_{|j-j'| \le 1} \triangle_j f \triangle_{j'} g.$$

Then, up to finitely many terms,

In section 3, we will use the following decomposition:

(2.7) 
$$fg = \sum_{j \in \mathbb{Z}} S_j f \triangle_j g + \sum_{j \in \mathbb{Z}} S_j f \triangle_j g.$$

Again, up to finitely many terms, we have

We finally recall a few inequalities which will be used in the sequel.

Bernstein's inequality [8]. For  $1 \leq p \leq q \leq \infty$  and  $k \in \mathbb{N}$ ,

(2.9) 
$$\sup_{|\alpha|=k} \|\partial^{\alpha} \triangle_j f\|_{L^p} \simeq 2^{jk} \|\triangle_j f\|_{L^p}, \quad \|\triangle_j f\|_{L^q} \lesssim 2^{jd(\frac{1}{p}-\frac{1}{q})} \|\triangle_j f\|_{L^p}.$$

Localization of the heat kernel [9].

(2.10) 
$$\|e^{t\Delta} \triangle_j f\|_{L^p} \lesssim e^{-t2^{2j}} \|\triangle_j f\|_{L^p}$$

# 3. The case $p < \infty$ : proof of Theorem 1.1 (existence) and Theorem 1.2 (analyticity)

In this section, we prove Theorem 1.2, analyticity of the Navier-Stokes equations with small initial data in  $\dot{B}_{p,q}^{\frac{3}{p}-1}$ , with  $p < \infty$ . The proof is based on an adequate modification of the proof Theorem 1.1. Therefore, we begin with the detailed existence proof of [9].

3.1. Proof of Theorem 1.1. We recall the definition of the function space  $E_p$ ,

(3.1) 
$$E_p = \left\{ u \in \mathscr{S}' : \|u\|_{E_p} = \|u\|_{\tilde{L}^{\infty}_t \dot{B}^{\frac{3}{p}-1}_{p,q}} + \|u\|_{\tilde{L}^{1}_t \dot{B}^{\frac{3}{p}+1}_{p,q}} < \infty \right\}.$$

We construct a solution in the integral form:  $v(t) = e^{t\Delta}v_0 - \mathscr{B}(v, v)$ , where the bilinear form  $\mathscr{B}$  is

(3.2) 
$$\mathscr{B}(u,v) = \int_0^t \left[ e^{(t-s)\Delta} \mathbb{P}\nabla \cdot (u \otimes v)(s) \right] ds.$$

We only need to show that  $\mathscr{B}$  maps  $E_p \times E_p$  to  $E_p$ . We first decompose the product  $u \otimes v$  as paraproduct (2.7). Then,  $\mathscr{B}$  can be decomposed as

$$\mathscr{B}(u,v) = \mathscr{B}_1(u,v) + \mathscr{B}_2(u,v), \quad \mathscr{B}_1(u,v) = \sum_{j \in \mathbb{Z}} \mathscr{B}(S_j u \otimes \triangle_j v), \quad \mathscr{B}_2(u,v) = \sum_{j \in \mathbb{Z}} \mathscr{B}(S_j v \otimes \triangle_j u).$$

We now estimate  $\mathscr{B}_1$  in  $E_p$ . We apply  $\triangle_j$  to  $\mathscr{B}_1$  and take the  $L^p$  norm. By Bernstein's inequality (2.9) and localization of the heat kernel as (2.10), we have

(3.3)  
$$\begin{aligned} \|\triangle_{j}\mathscr{B}_{1}(u,v)\|_{L^{p}} \lesssim \sum_{k\geq j-2} 2^{i} \int_{0}^{t} \left[ e^{-(t-s)2^{2k}} \|S_{k}u(s)\triangle_{k}v(s)\|_{L^{p}} \right] ds \\ \leq \sum_{k\geq j-2} 2^{i} \int_{0}^{t} \left[ e^{-(t-s)2^{2k}} \|S_{k}u(s)\|_{L^{\infty}} \|\triangle_{k}v(s)\|_{L^{p}} \right] ds. \end{aligned}$$

By Bernstein's inequality (2.9),

$$\|S_k u\|_{L^{\infty}} \leq \sum_{l \leq k-1} \|\triangle_l u\|_{L^{\infty}} \lesssim \sum_{l \leq k-1} 2^{l\frac{3}{p}} \|\triangle_l u\|_{L^p} = \sum_{l \leq k-1} 2^l 2^{l(\frac{3}{p}-1)} \|\triangle_l u\|_{L^p}.$$

Therefore, we can replace the right-hand side of (3.3) by

$$(3.4) \quad \|\triangle_{j}\mathscr{B}_{1}(u,v)\|_{L^{p}} \lesssim \sum_{k \ge j-2} 2^{j} \int_{0}^{t} \left[ e^{-(t-s)2^{2k}} \left( \sum_{l \le k-1} 2^{l} 2^{l} 2^{l(\frac{3}{p}-1)} \|\triangle_{l} u(s)\|_{L^{p}} \right) \|\triangle_{k} v(s)\|_{L^{p}} \right] ds.$$

By taking the  $L^{\infty}$  norm of (3.4) in time with the aid of Young's inequality in time, we obtain

$$\begin{aligned} \| \triangle_{j} \mathscr{B}_{1}(u,v) \|_{L_{t}^{\infty}L^{p}} &\lesssim \sum_{k \geq j-2} 2^{j} \Big[ \sum_{l \leq k-1} 2^{l} 2^{l} 2^{l \left(\frac{3}{p}-1\right)} \| \triangle_{l} u \|_{L_{t}^{\infty}L^{p}} \Big] \| \triangle_{k} v \|_{L_{t}^{1}L^{p}} \\ &\lesssim \| u \|_{\tilde{L}_{t}^{\infty} \dot{B}_{p,q}^{\frac{3}{p}-1}} \sum_{k \geq j-2} 2^{j} 2^{k} \| \triangle_{k} v \|_{L_{t}^{1}L^{p}} \\ &\leq \| u \|_{E_{p}} \sum_{k \geq j-2} 2^{j} 2^{-k \left(\frac{3}{p}\right)} 2^{k \left(\frac{3}{p}+1\right)} \| \triangle_{k} v \|_{L_{t}^{1}L^{p}}. \end{aligned}$$

We multiply (3.5) by  $2^{j(\frac{3}{p}-1)}$ . Then,

(3.6) 
$$2^{j(\frac{3}{p}-1)} \| \triangle_j \mathscr{B}_1(u,v) \|_{L^{\infty}_t L^p} \lesssim \| u \|_{E_p} \sum_{k \ge j-2} 2^{-(k-j)(\frac{3}{p})} 2^{k(\frac{3}{p}+1)} \| \triangle_k v \|_{L^1_t L^p}.$$

Since  $\frac{3}{p} > 0$ , we can use Young's inequality to estimate the right-hand side of (3.6) with respect to  $l^q$ . Namely, we let  $a_j = 2^{-j(\frac{3}{p})}$  and  $b_j = 2^{j(\frac{3}{p}+1)} \| \triangle_j v \|_{L^1_t L^p}$  and apply Young's inequality to  $\sum_{k \ge j-2} a_{k-j} b_k$  to obtain

(3.7) 
$$\|\mathscr{B}_{1}(u,v)\|_{\tilde{L}^{\infty}_{t}\dot{B}^{\frac{3}{p}-1}_{p,q}} \lesssim \|u\|_{E_{p}}\|v\|_{\tilde{L}^{1}_{t}\dot{B}^{\frac{3}{p}+1}_{p,q}} \le \|u\|_{E_{p}}\|v\|_{E_{p}}.$$

Similarly, we can obtain the  $L^1$  in time estimation of  $\mathscr{B}_1(u, v)$ . By taking the  $L^1$  norm in time to (3.4) and applying Young's inequality in time,

(3.8) 
$$\|\triangle_{j}\mathscr{B}_{1}(u,v)\|_{L^{1}_{t}L^{p}} \lesssim \|u\|_{E_{p}} 2^{-2j} \sum_{k \ge j-2} 2^{j} 2^{-k(\frac{3}{p})} 2^{k(\frac{3}{p}+1)} \|\triangle_{k}v\|_{L^{1}_{t}L^{p}}.$$

We multiply (3.8) by  $2^{j(\frac{3}{p}+1)}$ . Then,

$$2^{j(\frac{3}{p}+1)} \| \triangle_j \mathscr{B}_1(u,v) \|_{L^1_t L^p} \lesssim \| u \|_{E_p} \sum_{k \ge j-2} 2^{-(k-j)(\frac{3}{p})} 2^{k(\frac{3}{p}+1)} \| \triangle_k v \|_{L^1_t L^p}$$

from which we have

(3.9) 
$$\|\mathscr{B}_{1}(u,v)\|_{\tilde{L}^{1}_{t}\dot{B}^{\frac{3}{p}+1}_{p,q}} \lesssim \|u\|_{E_{p}} \|v\|_{E_{p}}$$

Therefore, we conclude that  $\|\mathscr{B}_1(u,v)\|_{E_p} \lesssim \|u\|_{E_p} \|v\|_{E_p}$ . By the symmetry of the paraproduct of  $\mathscr{B}(u,v)$ , we finally have

(3.10) 
$$\|\mathscr{B}(u,v)\|_{E_p} \lesssim \|u\|_{E_p} \|v\|_{E_p},$$

which completes the proof.

**Remark 3.1.** We will need to apply Young's inequality to sequences several times to estimate sequences having the convolution structure. Since the structure of sequences appearing later (for example, (4.5), (4.9), (4.10) and (6.3)) is exactly of the form used to obtain (3.7), we will apply Young's inequality to sequences without defining  $\{a_j\}$  and  $\{b_j\}$  each time.

3.2. **Preliminaries.** The proof of Theorem 1.2 in this section and likewise, Theorem 1.4 in section 4, requires a couple of elementary inequalities which are summarized in the following two lemmas.

**Lemma 3.1.** Consider the operator  $E := e^{-[\sqrt{t-s}+\sqrt{s}-\sqrt{t}]\Lambda}$  for  $0 \le s \le t$ . Then E is either the identity operator or is an  $L^1$  kernel whose  $L^1$  norm is bounded independent of s, t.

Proof. Clearly,  $a := \sqrt{t-s} + \sqrt{s} - \sqrt{t}$  is non-negative for  $s \leq t$ . In case a = 0,  $E = e^{-a\Lambda}$  is the identity operator, while if a > 0,  $E = e^{-a\Lambda}$  is a Fourier multiplier with symbol  $\hat{E}(\xi) = \prod_{i=1}^{d} e^{-a|\xi_i|}$ . Thus, the kernel of E is given by the product of one dimensional Poisson kernels  $\prod_{i=1}^{d} \frac{a}{\pi(a^2+x_i^2)}$ . The  $L^1$  norm of this kernel is bounded by a constant independent of a.

**Lemma 3.2.** The operator  $E = e^{\frac{1}{2}a\Delta + \sqrt{a}\Lambda}$  is a Fourier multiplier which maps boundedly  $L^p \mapsto L^p$ ,  $1 , and its operator norm is uniformly bounded with respect to <math>a \ge 0$ .

*Proof.* When a = 0, E is the identity operator. When a > 0, then E is Fourier multiplier with symbol  $\hat{E}(\xi) = e^{-\frac{1}{2}|\sqrt{a\xi}|^2 + |\sqrt{a\xi}|_1}$ . Since  $\hat{E}(\xi)$  is uniformly bounded for all  $\xi$  and decays exponentially for  $|\xi| \gg 1$ , the claim follows from Hormander's multiplier theorem, e.g., [47].

3.3. Proof of theorem 1.2. We are now ready to prove Theorem 1.2. For the notational simplicity, we define a function space  $F_p$  such that

$$F_p = \Big\{ v(t) \in E_p \ ; \ e^{\sqrt{t}\Lambda} v(t) \in E_p \Big\}.$$

We only need to show that  $\mathscr{B}$  in (3.2) is bounded from  $F_p \times F_p$  to  $F_p$ . To this end, we first apply  $e^{\sqrt{t}\Lambda}$  to  $\mathscr{B}$  in (3.2).

(3.11) 
$$e^{\sqrt{t}\Lambda}\mathscr{B}(u,v) = e^{\sqrt{t}\Lambda} \int_0^t \left[ e^{(t-s)\Delta} \mathbb{P}\nabla \cdot (u \otimes v)(s) \right] ds.$$

Let  $U(s) = e^{\sqrt{s}\Lambda}u, V(s) = e^{\sqrt{s}\Lambda}v$ , with  $U, V \in E_p$ . Then,

(3.12) 
$$e^{\sqrt{t}\Lambda}\mathscr{B}(u,v) = e^{\sqrt{t}\Lambda} \int_0^t \left[ e^{(t-s)\Delta} \mathbb{P}\nabla \cdot (e^{-\sqrt{s}\Lambda}U \otimes e^{-\sqrt{s}\Lambda}V)(s) \right] ds.$$

We rewrite (3.12) as

$$(3.13) \quad e^{\sqrt{t}\Lambda}\mathscr{B}(u,v) = \int_0^t \left[ e^{(\sqrt{t}-\sqrt{s})\Lambda} e^{\frac{1}{2}(t-s)\Delta} e^{\frac{1}{2}(t-s)\Delta} e^{\sqrt{s}\Lambda} \mathbb{P}\nabla \cdot (e^{-\sqrt{s}\Lambda}U \otimes e^{-\sqrt{s}\Lambda}V)(s) \right] ds.$$

We apply  $\triangle_j$  to (3.13) and take the  $L^p$  norm. By Lemma 3.1 and Lemma 3.2, we have

$$(3.14) \qquad \|\triangle_j e^{\sqrt{t}\Lambda} \mathscr{B}(u,v)\|_{L^p} \lesssim \int_0^t \left[ e^{-\frac{1}{2}(t-s)2^{2j}} 2^j \| e^{\sqrt{s}\Lambda} \triangle_j \left( e^{-\sqrt{s}\Lambda} U \otimes e^{-\sqrt{s}\Lambda} V \right)(s) \right) \|_{L^p} \right] ds.$$

To deal with the right-hand side of (3.14), we decompose the product  $e^{-\sqrt{s}\Lambda}U \otimes e^{-\sqrt{s}\Lambda}V$  as

$$e^{-\sqrt{s}\Lambda}U \otimes e^{-\sqrt{s}\Lambda}V = \sum_{j\in\mathbb{Z}} \left(e^{-\sqrt{s}\Lambda}S_jU\right) \otimes \left(e^{-\sqrt{s}\Lambda}\Delta_jV\right) + \sum_{j\in\mathbb{Z}} \left(e^{-\sqrt{s}\Lambda}\Delta_jU\right) \otimes \left(e^{-\sqrt{s}\Lambda}S_jV\right).$$

Then,

$$\begin{split} \| \triangle_j e^{\sqrt{t}\Lambda} \mathscr{B}(u,v) \|_{L^p} &\leq \int_0^t \sum_{k \geq j-2} \left[ e^{-\frac{1}{2}(t-s)2^{2j}} 2^j \left\| e^{\sqrt{s}\Lambda} \left( e^{-\sqrt{s}\Lambda} S_k U \otimes e^{-\sqrt{s}\Lambda} \triangle_k V \right)(s) \right\|_{L^p} \right] ds \\ &+ \int_0^t \sum_{k \geq j-2} \left[ e^{-\frac{1}{2}(t-s)2^{2j}} 2^j \left\| e^{\sqrt{s}\Lambda} \left( e^{-\sqrt{s}\Lambda} \triangle_k U \otimes e^{-\sqrt{s}\Lambda} S_k V \right)(s) \right\|_{L^p} \right] ds. \end{split}$$

To estimate the right-hand side of (3.15), we introduce the bilinear operators  $B_t$  of the form

$$B_t(f,g) = e^{\sqrt{t}\Lambda} (e^{-\sqrt{t}\Lambda} f e^{-\sqrt{t}\Lambda} g) = \int_{R^3} \int_{R^3} e^{ix \cdot (\xi+\eta)} e^{\sqrt{t}(|\xi+\eta|_1 - |\xi|_1 - |\eta|_1)} \hat{f}(\xi) \hat{g}(\eta) d\xi d\eta.$$

Recall that for a vector  $\xi = (\xi_1, \xi_2, \xi_3)$ , we denoted  $\|\xi\|_1 = \sum_{i=1}^3 |\xi_i|$ . As one can see below, this  $l^1$  version of  $\Lambda$  instead of the usual  $l^2$  version of  $\Lambda$  is crucial to estimate  $B_t$ . For  $\xi = (\xi_1, \xi_2, \xi_3), \eta = (\eta_1, \eta_2, \eta_3)$ , we now split the domain of integration of the above integral into sub-domains depending on the sign of  $\xi_j$ ,  $\eta_j$  and  $\xi_j + \eta_j$ . In order to do so, we introduce the operators acting on one variable (see page 253 in [33]) by

$$K_1 f = \frac{1}{2\pi} \int_0^\infty e^{ix\xi} \hat{f}(\xi) \, d\xi, \quad K_{-1} f = \frac{1}{2\pi} \int_{-\infty}^0 e^{ix\xi} \hat{f}(\xi) \, d\xi.$$

Let the operators  $L_{a,-1}$  and  $L_{a,1}$  be defined by

$$L_{a,1}f = f, \quad L_{a,-1}f = \frac{1}{2\pi} \int_{\mathbb{R}} e^{ix\xi} e^{-2a|\xi|} \hat{f}(\xi) d\xi.$$

For  $\vec{\alpha} = (\alpha_1, \alpha_2, \alpha_3), \vec{\beta} = (\beta_1, \beta_2, \beta_3) \in \{-1, 1\}^3$ , denote the operator

$$Z_{a,\vec{\alpha},\vec{\beta}} = K_{\beta_1} L_{t,\alpha_1\beta_1} \otimes \cdots \otimes K_{\beta_3} L_{t,\alpha_3\beta_3} \text{ and } K_{\vec{\alpha}} = K_{\alpha_1} \otimes K_{\alpha_2} \otimes K_{\alpha_3}.$$

The above tensor product means that the j-th operator in the tensor product acts on the j-th variable of the function  $f(x_1, x_2, x_3)$ . A tedious (but elementary) calculation now yields the following identity:

$$(3.16) B_t(f,g) = \sum_{(\vec{\alpha},\vec{\beta},\vec{\gamma})\in\{-1,1\}^{3\times3}} K_{\alpha_1} \otimes K_{\alpha_2} \otimes K_{\alpha_3} \big( Z_{t,\vec{\alpha},\vec{\beta}} f Z_{t,\vec{\alpha},\vec{\gamma}} g \big),$$

We now note that the operators  $K_{\vec{\alpha}}, Z_{a,\vec{\alpha},\vec{\beta}}$  defined above, being linear combinations of Fourier multipliers (including Hilbert transform) and the identity operator, commute with  $\Lambda$ . Moreover, they are bounded linear operators on  $L^p$ ,  $1 and the corresponding operator norm of <math>Z_{t,\vec{\alpha},\vec{\beta}}$  is bounded independent of  $t \geq 0$ . By taking the  $L^p$  norm to (3.16), we have

$$\|B_t(f,g)\|_{L^p} \lesssim \|Z_{t,\vec{\alpha},\vec{\beta}}fZ_{t,\vec{\alpha},\vec{\gamma}}g\|_{L^p}.$$

We now apply this argument the right-hand side of (3.15). Then,

(3.17)  
$$\begin{aligned} \|e^{\sqrt{t}\Lambda}\mathscr{B}(u,v)\|_{L^{p}} &\lesssim \int_{0}^{t} \sum_{k\geq j-2} \left[e^{-\frac{1}{2}(t-s)2^{2j}}2^{j}\|ZS_{k}U\otimes Z\triangle_{k}V\|_{L^{p}}\right]ds\\ &+ \int_{0}^{t} \sum_{k\geq j-2} \left[e^{-\frac{1}{2}(t-s)2^{2j}}2^{j}\|Z\triangle_{k}U\otimes ZS_{k}V\|_{L^{p}}\right]ds.\end{aligned}$$

where we denote Z without indices  $t, \vec{\alpha}, \vec{\beta}$  for the notational simplicity. By Bernstein's inequality (2.9), we have

$$\begin{split} \|ZS_{k}u\|_{L^{\infty}} &\leq \sum_{l \leq k-1} \|Z \triangle_{l}u\|_{L^{\infty}} \lesssim \sum_{l \leq k-1} \|\triangle_{l}Zu\|_{L^{\infty}} \\ &\lesssim \sum_{l \leq k-1} 2^{l^{\frac{3}{p}}} \|\triangle_{l}Zu\|_{L^{p}} \lesssim \sum_{l \leq k-1} 2^{l} 2^{l(\frac{3}{p}-1)} \|\triangle_{l}u\|_{L^{p}} \end{split}$$

where we use the fact that Z commutes with  $\Delta_l$  and the boundedness of Z on  $L^p$ . Therefore, we can follow the lines from (3.4) to (3.10) in proof of Theorem 1.1 to obtain

(3.18) 
$$\|\mathscr{B}(u,v)\|_{F_p} \lesssim \|U\|_{E_p} \|V\|_{E_p} \le \|u\|_{F_p} \|v\|_{F_p},$$

which completes the proof.

## 4. The case $p = \infty$ : proof of theorem 1.3 (existence) and Theorem 1.4 (analyticity)

We now show the well-posedness and analyticity for the Navier-Stokes equations of the limiting case  $p = \infty$ . To this end, we recall the definition of the space  $E_{\infty}$ 

$$E_{\infty} := \left\{ u \in \mathscr{S}' : \|u\|_{E_{\infty}} = \|u\|_{\tilde{L}^{\infty}_{t}\dot{B}^{0}_{3,\infty}} + \sup_{t>0} \left[ \|v(t)\|_{\dot{B}^{-1}_{\infty,q}} + t^{\frac{3}{4}} \|v(t)\|_{\dot{B}^{\frac{1}{2}}_{\infty,q}} \right] \right\}.$$

As one can see below, we need to obtain two additional estimates  $t^{\frac{1}{4}} \|v(t)\|_{\dot{B}^{-\frac{1}{2}}_{\infty,q}}$  and  $t^{\frac{1}{2}} \|v(t)\|_{\dot{B}^{0}_{\infty,q}}$ . (See (4.10), (4.14) and (4.18)). These terms can be obtained by interpolating  $\|v(t)\|_{\dot{B}^{-1}_{\infty,q}}$  and  $t^{\frac{3}{4}} \|v(t)\|_{\dot{B}^{\frac{1}{2}}_{\infty,q}}$ , but we proceed the proof by establishing bounds in  $K_{\infty}$  whose norm is given by

$$(4.1) \|v\|_{K_{\infty}} = \sup_{t>0} \left[ \|v(t)\|_{\dot{B}_{\infty,q}^{-1}} + t^{\frac{1}{4}} \|v(t)\|_{\dot{B}_{\infty,q}^{-\frac{1}{2}}} + t^{\frac{1}{2}} \|v(t)\|_{\dot{B}_{\infty,q}^{0}} + t^{\frac{3}{4}} \|v(t)\|_{\dot{B}_{\infty,q}^{1}} \right]$$

to avoid complicated expressions coming from the interpolation. The time weights appearing in (4.1) will be introduced below through the Gaussian bound,

(4.2) 
$$|\xi|^a e^{-t|\xi|^2} \lesssim t^{-\frac{a}{2}}$$

In addition, we will use the following lemma repeatedly in the proof of Theorem 1.3.

**Lemma 4.1.** For any 0 < a < 1 and 0 < b < 1,

$$\int_0^t \left[ (t-s)^{-a} s^{-b} \right] ds \lesssim t^{1-a-b}.$$

The result follows by decomposing the time integral into two parts,

$$\begin{split} \int_0^t \left[ (t-s)^{-a} s^{-b} \right] ds &= \int_0^{\frac{t}{2}} \left[ (t-s)^{-a} s^{-b} \right] ds + \int_{\frac{t}{2}}^t \left[ (t-s)^{-a} s^{-b} \right] ds \\ &\lesssim t^{-a} \int_0^{\frac{t}{2}} s^{-b} ds + t^{-b} \int_{\frac{t}{2}}^t (t-s)^{-a} ds. \end{split}$$

4.1. **Proof of theorem 1.3.** As we did in the proof Theorem 1.2, we need to show that  $\mathscr{B}$  maps  $E_{\infty} \times E_{\infty}$  to  $E_{\infty}$ . Since we already estimated the bilinear term  $\mathscr{B}$  in  $\dot{B}^{0}_{3,\infty}$  in Theorem 1.1, we only need to show that  $\mathscr{B}$  maps from  $E_{\infty} \times E_{\infty}$  to  $K_{\infty}$ . We decompose  $u \otimes v$  as (2.5). Then,

(4.3) 
$$\mathscr{B}(u,v) = \int_0^t \left[ \nabla e^{(t-s)\Delta} \mathbb{P}(T_u \otimes v + T_v \otimes u + R(u \otimes v)) \right] ds$$
$$:= \mathscr{B}_1(u,v) + \mathscr{B}_2(u,v) + \mathscr{B}_3(u,v).$$

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**Estimation of**  $\mathscr{B}_3(u, v)$ . We estimate  $\mathscr{B}_3$  first, where we need the auxiliary norm  $||u||_{L^{\infty}_t \dot{B}^0_{3,\infty}}$ . By Bernstein's inequality (2.9) and localization of the heat kernel (2.10),

$$\begin{aligned} \| \triangle_{j} \mathscr{B}_{3}(u,v)(t) \|_{L^{\infty}} &\lesssim 2^{j} \| \triangle_{j} \mathscr{B}_{3}(u,v)(t) \|_{L^{3}} \\ &\lesssim \int_{0}^{t} \left[ 2^{2j} e^{-(t-s)2^{2j}} \sum_{k \ge j-2} \| \triangle_{k} u(s) \|_{L^{3}} \| \triangle_{k} v(s) \|_{L^{\infty}} \right] ds \\ &\lesssim \| u \|_{L^{\infty}_{t} \dot{B}^{0}_{3,\infty}} \int_{0}^{t} \left[ 2^{\frac{3j}{2}} e^{-(t-s)2^{2j}} \sum_{k \ge j-2} 2^{\frac{j-k}{2}} 2^{\frac{k}{2}} \| \triangle_{k} v(s) \|_{L^{\infty}} \right] ds \\ &\leq \| u \|_{E_{\infty}} \int_{0}^{t} \left[ 2^{\frac{3j}{2}} e^{-(t-s)2^{2j}} \sum_{k \ge j-2} 2^{\frac{j-k}{2}} 2^{\frac{k}{2}} \| \triangle_{k} v(s) \|_{L^{\infty}} \right] ds. \end{aligned}$$

We will repeatedly use (4.4) to estimate  $\mathscr{B}_3(u, v)$  in  $K_{\infty}$ . To estimate  $\mathscr{B}_3(u, v)$  in  $L_t^{\infty} \dot{B}_{\infty,q}^{-1}$ , we multiply (4.4) by  $2^{-j}$ . Then,

$$(4.5) \qquad 2^{-j} \| \triangle_j \mathscr{B}_3(u,v)(t) \|_{L^{\infty}} \lesssim \| u \|_{E_{\infty}} \int_0^t \left[ 2^{\frac{j}{2}} e^{-(t-s)2^{2j}} \sum_{k \ge j-2} 2^{\frac{j-k}{2}} 2^{\frac{k}{2}} \| \triangle_k v(s) \|_{L^{\infty}} \right] ds$$
$$\lesssim \| u \|_{E_{\infty}} \int_0^t \left[ (t-s)^{-\frac{1}{4}} s^{-\frac{3}{4}} \sum_{k \ge j-2} 2^{\frac{j-k}{2}} s^{\frac{3}{4}} 2^{\frac{k}{2}} \| \triangle_k v(s) \|_{L^{\infty}} \right] ds.$$

By taking the  $l^q$  norm to (4.5) with the aid of Young's inequality (as mentioned in Remark 3.1), we have

$$\|\mathscr{B}_{3}(u,v)(t)\|_{\dot{B}_{\infty,q}^{-1}} \lesssim \|u\|_{E_{\infty}} \Big[\sup_{t>0} t^{\frac{3}{4}} \|v(t)\|_{\dot{B}_{\infty,q}^{\frac{1}{2}}}\Big] \int_{0}^{t} \Big[(t-s)^{-\frac{1}{4}} s^{-\frac{3}{4}}\Big] ds.$$

Therefore, Lemma 4.1 implies that

(4.6) 
$$\|\mathscr{B}_{3}(u,v)(t)\|_{\dot{B}^{-1}_{\infty,q}} \lesssim \|u\|_{E_{\infty}} \|v\|_{E_{\infty}}.$$

We will do the same calculation to estimate  $\mathscr{B}_3$  for the next two terms in (4.1) without details.

$$(4.7) \quad \left\|\mathscr{B}_{3}(u,v)(t)\right\|_{\dot{B}_{\infty,q}^{-\frac{1}{2}}} \lesssim \left\|u\right\|_{E_{\infty}} \left[\sup_{t>0} t^{\frac{3}{4}} \|v(t)\|_{\dot{B}_{\infty,q}^{-\frac{1}{2}}}\right] \int_{0}^{t} \left[(t-s)^{-\frac{1}{2}} s^{-\frac{3}{4}}\right] ds \lesssim \frac{\|u\|_{E_{\infty}} \|v\|_{E_{\infty}}}{t^{\frac{1}{4}}}.$$

$$(4.8) \quad \|\mathscr{B}_{3}(u,v)(t)\|_{\dot{B}_{\infty,q}^{0}} \lesssim \|u\|_{E_{\infty}} \Big[\sup_{t>0} t^{\frac{3}{4}} \|v(t)\|_{\dot{B}_{\infty,q}^{\frac{1}{2}}}\Big] \int_{0}^{t} \Big[(t-s)^{-\frac{3}{4}}s^{-\frac{3}{4}}\Big] ds \lesssim \frac{\|u\|_{E_{\infty}} \|v\|_{E_{\infty}}}{t^{\frac{1}{2}}}.$$

To estimate  $t^{\frac{3}{4}} \| \mathscr{B}_3(u,v)(t) \|_{\dot{B}^{\frac{1}{2}}_{\infty,q}}$ , we need to divide the time integration into two parts.

$$2^{\frac{j}{2}} \| \triangle_{j} \mathscr{B}_{3}(u,v)(t) \|_{L^{\infty}} \lesssim 2^{\frac{3j}{2}} \int_{0}^{\frac{t}{2}} \left[ 2^{j} e^{-(t-s)2^{2j}} \sum_{k \ge j-2} \| \triangle_{k} u(s) \|_{L^{\infty}} \| \triangle_{k} v(s) \|_{L^{3}} \right] ds$$
$$+ 2^{\frac{j}{2}} \int_{\frac{t}{2}}^{t} \left[ 2^{j} e^{-(t-s)2^{2j}} \sum_{k \ge j-2} \| \triangle_{k} u(s) \|_{L^{\infty}} \| \triangle_{k} v(s) \|_{L^{\infty}} \right] ds$$
$$= I_{j} + II_{j}.$$

We begin with  $I_j$ . By Bernstein's inequality (2.9),

$$\begin{split} I_{j} &= \int_{0}^{\frac{t}{2}} \left[ 2^{2j} e^{-(t-s)2^{2j}} 2^{\frac{j}{2}} \sum_{k \ge j-2} \|\Delta_{k} u(s)\|_{L^{3}} \|\Delta_{k} v(s)\|_{L^{\infty}} \right] ds \\ &\lesssim \int_{0}^{\frac{t}{2}} \left[ \frac{1}{t-s} \sum_{k \ge j-2} \|\Delta_{k} u(s)\|_{L^{3}} 2^{\frac{j-k}{2}} 2^{\frac{k}{2}} \|\Delta_{k} v(s)\|_{L^{\infty}} \right] ds \\ &\leq \|u\|_{L_{t}^{\infty} \dot{B}_{3,\infty}^{0}} \int_{0}^{\frac{t}{2}} \left[ \frac{1}{t-s} \sum_{k \ge j-2} 2^{\frac{j-k}{2}} 2^{\frac{k}{2}} \|\Delta_{k} v(s)\|_{L^{\infty}} \right] ds \\ &\leq \|u\|_{E_{\infty}} \int_{0}^{\frac{t}{2}} \left[ \frac{1}{t-s} \sum_{k \ge j-2} 2^{\frac{j-k}{2}} 2^{\frac{k}{2}} \|\Delta_{k} v(s)\|_{L^{\infty}} \right] ds. \end{split}$$

Using Young's inequality, we obtain that

(4.9) 
$$\{I_j\}_{l^q} \lesssim \|u\|_{E_{\infty}} \Big[\sup_{t>0} t^{\frac{3}{4}} \|v(t)\|_{\dot{B}^{\frac{1}{2}}_{\infty,q}}\Big] \int_0^{\frac{t}{2}} \Big[(t-s)^{-1}s^{-\frac{3}{4}}\Big] ds \lesssim \frac{\|u\|_{E_{\infty}} \|v\|_{E_{\infty}}}{t^{\frac{3}{4}}}.$$
Next, we estimate  $IL$ 

Next, we estimate  $II_j$ .

$$II_{j} \lesssim \int_{\frac{t}{2}}^{t} \left[ (t-s)^{-\frac{1}{2}} \sum_{k \ge j-2} 2^{\frac{j-k}{2}} 2^{\frac{k}{2}} \| \Delta_{k} u(s) \|_{L^{\infty}} \| \Delta_{k} v(s) \|_{L^{\infty}} \right] ds$$
$$= \int_{\frac{t}{2}}^{t} \left[ (t-s)^{-\frac{1}{2}} s^{-\frac{3}{4}} s^{-\frac{1}{2}} \sum_{k \ge j-2} 2^{\frac{j-k}{2}} s^{\frac{1}{2}} \| \Delta_{k} u(s) \|_{L^{\infty}} s^{\frac{3}{4}} 2^{\frac{k}{2}} \| \Delta_{k} v(s) \|_{L^{\infty}} \right] ds$$

from which we have

(4.10) 
$$\{II_{j}\}_{l^{q}} \lesssim \left[\sup_{t>0} t^{\frac{1}{2}} \|v(t)\|_{\dot{B}_{\infty,q}^{0}}\right] \left[\sup_{t>0} t^{\frac{3}{4}} \|v(t)\|_{\dot{B}_{\infty,q}^{\frac{1}{2}}}\right] \int_{\frac{t}{2}}^{t} \left[(t-s)^{-\frac{1}{2}}s^{-\frac{3}{4}}s^{-\frac{1}{2}}\right] ds \\ \lesssim \frac{\|u\|_{E_{\infty}} \|v\|_{E_{\infty}}}{t^{\frac{3}{4}}}.$$

By (4.9) and (4.10), we have

(4.11) 
$$\|\mathscr{B}_{3}(u,v)(t)\|_{\dot{B}^{\frac{1}{2}}_{\infty,q}} \lesssim \frac{\|u\|_{E_{\infty}}\|v\|_{E_{\infty}}}{t^{\frac{3}{4}}}.$$

Therefore, by (4.6), (4.7), (4.8), and (4.13),

(4.12) 
$$\|\mathscr{B}_3(u,v)\|_{K_{\infty}} \lesssim \|u\|_{E_{\infty}} \|v\|_{E_{\infty}}.$$

**Estimation of**  $\mathscr{B}_1(u,v)$  and  $\mathscr{B}_2(u,v)$ . Now, we estimate  $\mathscr{B}_1(u,v)$ . By applying  $\triangle_j$  to  $\mathscr{B}_1(u,v)$  and taking the  $L^{\infty}$  norm, we have

$$\|\triangle_j \mathscr{B}_1(u,v)(t)\|_{L^{\infty}} \lesssim \int_0^t \left[2^j e^{-(t-s)2^{2j}} \|S_j u(s)\|_{L^{\infty}} \|\triangle_j v(s)\|_{L^{\infty}}\right] ds$$

We note that we used the auxiliary norm  $||u||_{\dot{B}^0_{3,\infty}}$  to replace  $||\triangle_k u||_{L^{\infty}}$  by  $||\triangle_k u||_{L^3}$  to gain one derivative to estimate  $\mathscr{B}_3(u, v)$ . Here, we can gain one derivative from  $S_j u$  to estimate  $\mathscr{B}_1$  and

 $\mathscr{B}_2(u,v)$  as follows.

$$(4.13) ||S_{j}u||_{L^{\infty}} \lesssim \sum_{l=-\infty}^{j} ||\Delta_{l}u||_{L^{\infty}} = \sum_{l=-\infty}^{j} 2^{l} 2^{-l} ||\Delta_{l}u||_{L^{\infty}} \lesssim 2^{j} ||u||_{\dot{B}^{-1}_{\infty,q}} \le 2^{j} ||u||_{E_{\infty}}.$$

We will use this property to estimate  $\mathscr{B}_1(u, v)$  for the first three terms in (4.1). We begin with the estimation of  $\|\mathscr{B}_1(u, v)\|_{L^{\infty}_t \dot{B}^{-1}_{\infty,q}}$ .

$$2^{-j} \| \triangle_j \mathscr{B}_1(u, v)(t) \|_{L^{\infty}} \lesssim \|u\|_{E_{\infty}} \int_0^t \left[ 2^j e^{-(t-s)2^{2j}} \| \triangle_j v(s) \|_{L^{\infty}} \right] ds$$
  
$$\lesssim \|u\|_{E_{\infty}} \int_0^t \left[ (t-s)^{-\frac{1}{2}} \| \triangle_j v(s) \|_{L^{\infty}} \right] ds$$
  
$$= \|u\|_{E_{\infty}} \int_0^t \left[ (t-s)^{-\frac{1}{2}} s^{-\frac{1}{2}} s^{\frac{1}{2}} \| \triangle_j v(s) \|_{L^{\infty}} \right] ds$$

which implies, by lemma 4.1, that

$$(4.14) \quad \|\mathscr{B}_{1}(u,v)(t)\|_{\dot{B}_{\infty,q}^{-1}} \lesssim \|u\|_{E_{\infty}} \Big[\sup_{t>0} t^{\frac{1}{2}} \|v(t)\|_{\dot{B}_{\infty,q}^{0}}\Big] \int_{0}^{t} \Big[(t-s)^{-\frac{1}{2}} s^{-\frac{1}{2}}\Big] ds \lesssim \|u\|_{E_{\infty}} \|v\|_{E_{\infty}}.$$

Again, we will use the same calculation to estimate  $\mathscr{B}_1(u, v)$  for the following two terms without details.

$$(4.15) \quad \left\|\mathscr{B}_{1}(u,v)(t)\right\|_{\dot{B}^{-\frac{1}{2}}_{\infty,q}} \lesssim \left\|u\right\|_{E_{\infty}} \left[\sup_{t>0} t^{\frac{3}{4}} \|v(t)\|_{\dot{B}^{\frac{1}{2}}_{\infty,q}}\right] \int_{0}^{t} \left[(t-s)^{-\frac{1}{2}} s^{-\frac{3}{4}}\right] ds \lesssim \frac{\|u\|_{E_{\infty}} \|v\|_{E_{\infty}}}{t^{\frac{1}{4}}}.$$

$$(4.16) \quad \|\mathscr{B}_{1}(u,v)(t)\|_{\dot{B}^{0}_{\infty,q}} \lesssim \|u\|_{E_{\infty}} \Big[\sup_{t>0} t^{\frac{3}{4}} \|v(t)\|_{\dot{B}^{\frac{1}{2}}_{\infty,q}}\Big] \int_{0}^{t} \Big[(t-s)^{-\frac{3}{4}}s^{-\frac{3}{4}}\Big] ds \lesssim \frac{\|u\|_{E_{\infty}} \|v\|_{E_{\infty}}}{t^{\frac{1}{2}}}.$$

To estimate  $t^{\frac{3}{4}} \| \mathscr{B}_1(u,v)(t) \|_{\dot{B}^{\frac{1}{2}}_{\infty,q}}$ , we need to divide the time integration into two parts.

$$2^{\frac{j}{2}} \| \triangle_{j} \mathscr{B}_{1}(u,v)(t) \|_{L^{\infty}} \lesssim \int_{0}^{\frac{t}{2}} \left[ 2^{\frac{j}{2}} 2^{j} e^{-(t-s)2^{2j}} \| S_{j}u(s) \|_{L^{\infty}} \| \triangle_{j}v(s) \|_{L^{\infty}} \right] ds$$
$$+ \int_{\frac{t}{2}}^{t} \left[ 2^{\frac{j}{2}} 2^{j} e^{-(t-s)2^{2j}} \| S_{j}u(s) \|_{L^{\infty}} \| \triangle_{j}v(s) \|_{L^{\infty}} \right] ds$$
$$= III_{j} + IV_{j}.$$

We begin with  $III_j$ . By (4.13),

$$III_{j} \lesssim \|u\|_{E_{\infty}} \int_{0}^{\frac{t}{2}} \left[ 2^{2j} e^{-(t-s)2^{2j}} 2^{\frac{j}{2}} \|\Delta_{j} v(s)\|_{L^{\infty}} \right] ds.$$

Thus, we have

(4.17) 
$$\{III_j\}_{l^q} \lesssim \|u\|_{E_{\infty}} \Big[\sup_{t>0} t^{\frac{3}{4}} \|v(t)\|_{\dot{B}^{\frac{1}{2}}_{\infty,q}}\Big] \int_0^{\frac{t}{2}} \Big[(t-s)^{-1}s^{-\frac{3}{4}}\Big] ds \lesssim \frac{\|u\|_{E_{\infty}} \|v\|_{E_{\infty}}}{t^{\frac{3}{4}}}.$$

To estimate  $IV_j$ , we slightly change the estimation of  $||S_ju||_{L^{\infty}}$  as follows:

(4.18)  
$$\|S_{j}u(s)\|_{L^{\infty}} \lesssim \sum_{l=-\infty}^{j} \|\Delta_{l}u(s)\|_{L^{\infty}} = \sum_{l=-\infty}^{j} 2^{\frac{l}{2}}s^{-\frac{1}{4}}2^{-\frac{l}{2}}s^{\frac{1}{4}}\|\Delta_{l}u(s)\|_{L^{\infty}}$$
$$\lesssim 2^{\frac{j}{2}}s^{-\frac{1}{4}}\left[\sup_{s>0}s^{\frac{1}{4}}\|u(s)\|_{\dot{B}^{-\frac{1}{2}}_{\infty,q}}\right] \le 2^{\frac{j}{2}}s^{-\frac{1}{4}}\|u\|_{E_{\infty}}.$$

Therefore,

$$IV_{j} \lesssim \|u\|_{E_{\infty}} \int_{\frac{t}{2}}^{t} \left[ 2^{\frac{3j}{2}} e^{-(t-s)2^{2j}} s^{-\frac{1}{4}} 2^{\frac{j}{2}} \|\triangle_{j} v(s)\|_{L^{\infty}} \right] ds$$
$$\lesssim \|u\|_{E_{\infty}} \int_{\frac{t}{2}}^{t} \left[ (t-s)^{-\frac{3}{4}} s^{-\frac{1}{4}} 2^{\frac{j}{2}} \|\triangle_{j} v(s)\|_{L^{\infty}} \right] ds$$

which implies that

$$(4.19) \qquad \left\{ IV \right\}_{l^q} \lesssim \|u\|_{E_{\infty}} \left[ \sup_{t>0} t^{\frac{3}{4}} \|v(t)\|_{\dot{B}^{\frac{1}{2}}_{\infty,q}} \right] \int_{\frac{t}{2}}^{t} \left[ (t-s)^{-\frac{3}{4}} s^{-\frac{1}{4}} s^{-\frac{3}{4}} \right] ds \lesssim \frac{\|u\|_{E_{\infty}} \|v\|_{E_{\infty}}}{t^{\frac{3}{4}}}.$$

By (4.17) and (4.19), we have

(4.20) 
$$\|\mathscr{B}_{1}(u,v)(t)\|_{\dot{B}^{\frac{1}{2}}_{\infty,q}} \lesssim \frac{\|u\|_{E_{\infty}}\|v\|_{E_{\infty}}}{t^{\frac{3}{4}}}.$$

Therefore, By (4.14), (4.15), (4.16), and (4.20),

(4.21) 
$$\|\mathscr{B}_1(u,v)\|_{K_{\infty}} \lesssim \|u\|_{E_{\infty}} \|v\|_{E_{\infty}}.$$

Since  $\mathscr{B}_2(u, v)$  is of the form of  $\mathscr{B}_1(u, v)$  by changing the role of u and v, we have

(4.22) 
$$\|\mathscr{B}_2(u,v)\|_{K_{\infty}} \lesssim \|u\|_{E_{\infty}} \|v\|_{E_{\infty}}$$

Combining (4.12), (4.21), and (4.22), we finally have

$$(4.23) ||\mathscr{B}(u,v)||_{K_{\infty}} \lesssim ||u||_{E_{\infty}} ||v||_{E_{\infty}}$$

which completes the proof of Theorem 1.3.

4.2. Proof of theorem 1.4. We can prove Theorem 1.4 along the lines the proof of Theorem 1.2 and Theorem 1.3. We define a function space  $F_{\infty}$  such that

$$F_{\infty} = \left\{ v(t) \in E_{\infty} \; ; \; e^{\sqrt{t}\Lambda} v(t) \in E_{\infty} \right\}.$$

We only need to show that  $\mathscr{B}$  in (3.2) is bounded from  $F_{\infty} \times F_{\infty}$  to  $F_{\infty}$ . Let  $U(s) = e^{\sqrt{s}\Lambda}u, V(s) = e^{\sqrt{s}\Lambda}v$ , with  $U, V \in E_{\infty}$ . By replacing the  $L^p$  norm by the  $L^{\infty}$  norm in (3.14), we have

$$(4.24) \qquad \|\triangle_j e^{\sqrt{t}\Lambda} \mathscr{B}(u,v)\|_{L^{\infty}} \lesssim \int_0^t \left[ e^{-\frac{1}{2}(t-s)2^{2j}} 2^j \| e^{\sqrt{s}\Lambda} \triangle_j \left( e^{-\sqrt{s}\Lambda} U \otimes e^{-\sqrt{s}\Lambda} V \right)(s) \|_{L^{\infty}} \right] ds.$$

Then, we decompose  $\left(e^{-\sqrt{s}\Lambda}U \otimes e^{-\sqrt{s}\Lambda}V\right)$  as

$$T_{e^{-\sqrt{s}\Lambda}U} \otimes e^{-\sqrt{s}\Lambda}V + T_{e^{-\sqrt{s}\Lambda}V} \otimes e^{-\sqrt{s}\Lambda}U + R(e^{-\sqrt{s}\Lambda}U \otimes e^{-\sqrt{s}\Lambda}V)$$

and follows calculations in the proof of theorem 1.3. In general,  $K_{\vec{\alpha}}$  and  $Z_{t,\vec{\alpha},\vec{\beta}}$  do not map  $L^{\infty}$  to  $L^{\infty}$ . However, these operators are bounded in  $L^{\infty}$  when localized in dyadic blocks in the Fourier spaces. Therefore,

(4.25) 
$$\|e^{\sqrt{t\Lambda}}\mathscr{B}(u,v)\|_{K_{\infty}} \lesssim \|U\|_{E_{\infty}} \|V\|_{E_{\infty}} \le \|u\|_{F_{\infty}} \|v\|_{F_{\infty}}.$$

Since we already obtained  $\|e^{\sqrt{t}\Lambda}\mathscr{B}(u,v)\|_{L^{\infty}_{t}\dot{B}^{0}_{3,\infty}}$  in section 3, we finally have

$$\|\mathscr{B}(u,v)\|_{F_{\infty}} \lesssim \|u\|_{F_{\infty}} \|v\|_{F_{\infty}}$$

This completes the proof of Theorem 1.4.

### 5. Proof of theorem 1.5: decay of Besov norms

In this section, we will obtain various decay estimates of weak solutions of the Navier-Stokes equations in Besov spaces. We need the following lemma to proceed.

**Lemma 5.1.** The Fourier multipliers corresponding to the symbols  $m(\xi) = |\xi|^{\zeta} e^{-\sqrt{t}|\xi|_1}$  are given by convolution with corresponding kernels k which is a  $L^1$  functions with  $||k||_{L^1} \leq \frac{C_{\zeta}}{t^{\zeta/2}}$ .

*Proof.* For a proof of the  $L^1$  bound on k, we apply lemma 2.1 in [33] under the scaling:  $\xi \mapsto t^{\frac{1}{2}}\xi$ .

Theorem 1.2 and Theorem 1.4 tell us that if initial data are sufficiently small in critical spaces  $\dot{B}_{p,q}^{\frac{3}{p}-1}$ , then the solution is globally in the Gevrey class. Then, lemma 5.1 allows us to obtain the following time decay of (homogeneous) Besov norms:

$$\|\Lambda_{2}^{\zeta}v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} = \|\Lambda_{2}^{\zeta}e^{-\sqrt{t}\Lambda}e^{\sqrt{t}\Lambda}v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} \le C_{\zeta}t^{-\frac{\zeta}{2}}\|e^{\sqrt{t}\Lambda}v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}}, \quad \zeta > 0,$$

where we recall that  $\Lambda_2 = (-\Delta)^{\frac{1}{2}}$ . If we can show that a solution v(t) satisfies

(5.1) 
$$\liminf_{t \to \infty} \|v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} = 0,$$

then due to Theorem 1.2 and Theorem 1.4, after a certain transient time  $t_0$ , we have

$$\sup_{t>t_0} \|e^{\sqrt{t\Lambda}}v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} < \infty.$$

Consequently, we obtain

(5.2) 
$$\|\Lambda_{2}^{\zeta}v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} \leq C_{\zeta} \|v(t_{0})\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} (t-t_{0})^{-\frac{\zeta}{2}}, \quad \zeta > 0,$$

where  $||v(t_0)||_{\dot{B}^{\frac{3}{p}-1}_{p,q}}$  is sufficiently small to apply Theorem 1.2 or Theorem 1.4.

5.1. **Proof of theorem 1.5.** We only need to show (5.1). For  $v_0 \in L^2$ , we have the following energy inequality:

$$\|v(t)\|_{L^2}^2 + \int_0^t \|\nabla v(s)\|_{L^2}^2 ds \le \|v_0\|_{L^2}^2.$$

This implies that

(5.3) 
$$\sup_{t>0} \|v(t)\|_{L^2}^2 \le \|v_0\|_{L^2}^2, \quad \liminf_{t\to\infty} \|v(t)\|_{\dot{H}^1} = 0.$$

In order to obtain the second relation in (5.3), for  $\epsilon > 0$  arbitrary, choose t large so that  $\frac{1}{t} \|v_0\|_{L^2}^2 < \frac{\epsilon}{4}$ . We note that the energy inequality yields  $\frac{1}{t} \int_0^t \|\nabla v(s)\|_{L^2}^2 \leq \frac{1}{t} \|v_0\|_{L^2}^2$ . This immediately implies that there exists  $t_0 \in (0, t)$  such that  $\|\nabla v(t_0)\|_{L^2}^2 < \epsilon$ . Due the uniform bound on  $\|v(t)\|_{L^2}$ , it also follows that  $\liminf_{t \to \infty} \|u(t)\|_{\dot{H}^{\beta}} = 0$  for  $0 < \beta \leq 1$ .

For p = q = 2,  $\dot{B}_{2,2}^{\frac{1}{2}} = \dot{H}^{\frac{1}{2}}$ . Thus by (5.2), we have

(5.4) 
$$\|\Lambda_2^{\zeta} v(t)\|_{\dot{H}^{\frac{1}{2}}} \le C_{\zeta} \|v(t_0)\|_{\dot{H}^{\frac{1}{2}}} (t-t_0)^{-\frac{\zeta}{2}}, \quad \zeta > 0,$$

where  $||v(t_0)||_{\dot{H}^{\frac{1}{2}}}$  is sufficiently small to apply Theorem 1.2.

For p > 2 and  $q \ge 2$ , the embedding  $\dot{H}^{\frac{1}{2}} \subset \dot{B}_{p,q}^{\frac{3}{p}-1}$  implies that  $\|v(t)\|_{\dot{B}_{p,q}^{\frac{3}{p}-1}} \to 0$  as  $t \to \infty$ . Therefore,

(5.5) 
$$\|\Lambda_2^{\zeta} v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} \le C_{\zeta} \|v(t_0)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} (t-t_0)^{-\frac{\zeta}{2}}, \quad \zeta > 0,$$

where  $\|v(t_0)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}}$  is sufficiently small to apply Theorem 1.2 for  $p < \infty$  or Theorem 1.4 for  $p = \infty$  and  $q < \infty$ .

To deal with the case p < 2, we will use the vorticity  $\omega = \nabla \times v$ . From the vorticity equation,  $\omega_t + v \cdot \nabla \omega - \Delta \omega = \omega \nabla v$ , we have ([11])

(5.6) 
$$\|\omega(t)\|_{L^1} \le \|v_0\|_{L^2}^2 + \|\omega_0\|_{L^1}.$$

We will use this  $L^1$  vorticity bound to estimate the decay rate in Besov spaces. By the interpolation of  $L^1$  norm and  $L^2$  norm of  $\Delta_j v(t)$ , we have

(5.7) 
$$\| \triangle_j v(t) \|_{L^p} \le C \| \triangle_j v(t) \|_{L^2}^{\alpha} \| \triangle_j v \|_{L^1}^{1-\alpha}, \quad \alpha = \frac{2p-2}{p}.$$

We multiply (5.7) by  $2^{j(\frac{3}{p}-1+\zeta)}$ . Then,

(5.8)  

$$2^{j(\frac{3}{p}-1+\zeta)} \|\Delta_{j}v(t)\|_{L^{p}} \leq C 2^{j(\frac{1}{p}+\zeta)} \|\Delta_{j}v(t)\|_{L^{2}}^{\alpha} (2^{j}\|\Delta_{j}v(t)\|_{L^{1}})^{1-\alpha}$$

$$\leq C 2^{j(\frac{1}{p}+\zeta)} \|\Delta_{j}v(t)\|_{L^{2}}^{\alpha} (\|\Delta_{j}w(t)\|_{L^{1}})^{1-\alpha}$$

$$\leq C 2^{j(\frac{1}{p}+\zeta)} \|\Delta_{j}v(t)\|_{L^{2}}^{\alpha},$$

where we use the fact that

$$\|\Delta_j \nabla v\|_{L^1} \le C \|\Delta_j w\|_{L^1} \le C \|w\|_{L^1}, \quad C \text{ independent of } j.$$

By taking the  $l^q$  norm to (5.8), we have

(5.9) 
$$\|\Lambda_2^{\zeta} v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} \le C \Big(\sum_j 2^{jq(\frac{1}{p}+\zeta)} \|\Delta_j v(t)\|_{L^2}^{\alpha q} \Big)^{\frac{1}{q}}.$$

We take  $q_0$  such as  $\alpha q_0 = 2$ . Then for  $q \ge q_0 = \frac{p}{p-1} > 2$ , we have

(5.10) 
$$\|\Lambda_{2}^{\zeta}v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} \le C\|v(t)\|_{\dot{H}^{\frac{q}{2}(\frac{1}{p}+\zeta)}}^{\frac{d}{q}}.$$

Since  $\frac{q}{2}(\frac{1}{p}+\zeta) = \frac{1}{2(p-1)} + \frac{q\zeta}{2} > \frac{1}{2}$  for p < 2, the right-hand side of (5.10) goes to 0 as  $t \to \infty$  by (5.4). Therefore,

(5.11) 
$$\|\Lambda_2^{\zeta} v(t)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} \le C_{\zeta} \|v(t_0)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}} (t-t_0)^{-\frac{\zeta}{2}}, \quad \zeta > 0,$$

where  $\|v(t_0)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}}$  is sufficiently small to apply Theorem 1.2. This completes the proof of Theorem 1.5.

**Remark 5.1.** By using the relation between Besov spaces and Triebel-Lizorkin spaces  $\dot{F}_{p,q}^{s}$ 

$$\dot{B}^s_{p,p} \subset \dot{F}^s_{p,p},$$

we can obtain decay of weak solutions in Sobolev spaces  $\dot{W}^{s,p}$  with p < 2. (For the definition of Triebel-Lizorkin spaces and embedding properties, see [20]). By taking p = q < 2,

$$\|e^{\sqrt{t\Lambda}}v(t)\|_{\dot{F}^{\frac{3}{p}-1}_{p,p}} \lesssim \|v(t_0)\|_{\dot{B}^{\frac{3}{p}-1}_{p,p}}$$

Since  $l^p \subset l^2$  for p < 2,

$$\|e^{\sqrt{t}\Lambda}v(t)\|_{\dot{F}^{\frac{3}{p}-1}_{p,2}} \lesssim \|v(t_0)\|_{\dot{B}^{\frac{3}{p}-1}_{p,p}}$$

which is equivalent to the estimate solutions in the potential function  $W^{s_0,p}$  such that

$$\|e^{\sqrt{t}\Lambda}v(t)\|_{\dot{W}^{s_0,p}} \lesssim \|v(t_0)\|_{\dot{B}^{\frac{3}{p}-1}_{p,q}}, \quad s_0 = \frac{3}{p} - 1 > 0.$$

Therefore,

$$\|\Lambda_2^{\zeta} v(t)\|_{L^p} \le C_{\zeta} \|v(t_0)\|_{\dot{B}^{\frac{3}{p}-1}_{p,p}}(t-t_0)^{-\frac{\zeta-s_0}{2}}, \quad \zeta > s_0,$$

where  $\|v(t_0)\|_{\dot{B}^{\frac{3}{p}-1}_{p,p}}$  is sufficiently small to apply Theorem 1.2.

### 6. PROOF OF THEOREM 1.6: REGULARITY CONDITION IN BESOV SPACES

In order to prove Theorem 1.6, we only need to show that  $\|\nabla v(t)\|_{L^{\infty}}$  appearing in the blowup criterion ([3]) can be controlled by (1.5). To this end, we will estimate  $\nabla v$  in Besov spaces  $\dot{B}_{p,1}^{\frac{3}{p}}$  which is contained in the  $L^{\infty}$ . As before, we express v in the integral form:

(6.1) 
$$v(t) = e^{t\Delta}v_0 - \int_0^t \left[ e^{(t-s)\Delta} \mathbb{P}\nabla \cdot (v \otimes v)(s) \right] ds.$$

By applying  $\bigtriangleup_j$  to (6.1) and taking the  $L^p$  norm , we have

$$\begin{split} \| \triangle_{j} v(t) \|_{L^{p}} &\lesssim e^{-t2^{2j}} \| \triangle_{j} v_{0} \|_{L^{p}} + \int_{0}^{t} \left[ 2^{j} e^{-(t-s)2^{2j}} \| \triangle_{j} (v \otimes v)(s) \|_{L^{p}} \right] ds \\ &\lesssim e^{-t2^{2j}} \| \triangle_{j} v_{0} \|_{L^{p}} + \int_{0}^{t} 2^{j} \left[ e^{-(t-s)2^{2j}} \left( \| S_{j} v(s) \|_{L^{\infty}} \| \triangle_{j} v(s) \|_{L^{p}} \right) \right] ds \\ &+ \sum_{k \ge j-2} 2^{k\frac{3}{p}} \| \triangle_{k} v(s) \|_{L^{p}} \| \triangle_{k} v(s) \|_{L^{p}} \right) \bigg] ds \\ &= e^{-t2^{2j}} \| \triangle_{j} v_{0} \|_{L^{p}} + I + II, \end{split}$$

where we use the decomposition  $v \otimes v = 2T_v \otimes v + R(v \otimes v)$  at the second inequality.

Estimation of I. By Bernstein's inequality (2.9),

$$\|S_{j}v(s)\|_{L^{\infty}} \lesssim \sum_{l=-\infty}^{j} 2^{l\frac{3}{p}} 2^{-\sigma l} 2^{\sigma l} \|\triangle_{l}v(s)\|_{L^{p}} \lesssim 2^{j(\frac{3}{p}-\sigma)} \|v(s)\|_{\dot{B}^{\sigma}_{p,\infty}},$$

where we use the condition  $\frac{3}{p} - \sigma = 1 - \frac{2}{q} > 0$  for q > 2. Then,

$$I(t) \lesssim \int_0^t \left[ 2^{j(1+\frac{3}{p}-\sigma)} e^{-(t-s)2^{2j}} \|v(s)\|_{\dot{B}^{\sigma}_{p,\infty}} \|\Delta_j v(s)\|_{L^p} \right] ds.$$

Therefore,

where we use the condition  $1 + \frac{3}{p} - \sigma = 2 - \frac{2}{q} < 2$  for  $q < \infty$ , and  $\frac{1}{2}\left(1 + \frac{3}{p} - \sigma\right) + \frac{1}{q} = 1$  to apply Lemma 4.1.

## Estimation of II.

$$II(t) \lesssim \int_{0}^{t} \left[ 2^{j} e^{-(t-s)2^{2j}} \sum_{k \ge j-2} 2^{-k\sigma} 2^{k\sigma} \| \triangle_{k} v(s) \|_{L^{p}} 2^{k(\frac{3}{p}+1)} \| \triangle_{k} v(s) \|_{L^{p}} \right] ds$$
$$\lesssim \int_{0}^{t} \left[ 2^{-j\sigma} e^{-(t-s)2^{2j}} \| v(s) \|_{\dot{B}^{\sigma}_{p,\infty}} \sum_{k \ge j-2} 2^{(j-k)} 2^{k(\frac{1}{p}+1)} \| \triangle_{k} v(s) \|_{L^{p}} \right] ds$$

from which we obtain

$$(6.3) \qquad \|II(t)\|_{\dot{B}^{\frac{3}{p}+1}_{p,1}} \lesssim \int_{0}^{t} \left[ (t-s)^{-\frac{1}{2}(1+\frac{3}{p}-\sigma)} s^{-\frac{1}{q}} s^{\frac{1}{q}} \|v(s)\|_{\dot{B}^{\sigma}_{p,\infty}} \|v(s)\|_{\dot{B}^{\frac{3}{p}+1}_{p,1}} \right] ds$$
$$(6.3) \qquad \lesssim \sup_{0 < \tau < t} \left[ \tau^{\frac{1}{q}} \|v(\tau)\|_{\dot{B}^{\sigma}_{p,\infty}} \|v(\tau)\|_{\dot{B}^{\frac{3}{p}+1}_{p,1}} \right] \int_{0}^{t} \left[ (t-s)^{-\frac{1}{2}(1+\frac{3}{p}-\sigma)} s^{-\frac{1}{q}} \right] ds$$
$$\lesssim \sup_{0 < \tau < t} \left[ \tau^{\frac{1}{q}} \|v(\tau)\|_{\dot{B}^{\sigma}_{p,\infty}} \|v(\tau)\|_{\dot{B}^{\frac{3}{p}+1}_{p,1}} \right].$$

By (6.2) and (6.3),

(6.4) 
$$\|v(t)\|_{\dot{B}^{\frac{3}{p}+1}_{p,1}} \lesssim \|v_0\|_{\dot{B}^{\frac{3}{p}+1}_{p,1}} + \sup_{0 < \tau < t} \Big[\tau^{\frac{1}{q}} \|v(\tau)\|_{\dot{B}^{\sigma}_{p,\infty}} \|v(\tau)\|_{\dot{B}^{\frac{3}{p}+1}_{p,1}}\Big].$$

We translate the time interval from [0, t] to [T - a, T]. Then,

(6.5) 
$$\|v(T)\|_{\dot{B}^{\frac{3}{p}+1}_{p,1}} \lesssim \|v(T-a)\|_{\dot{B}^{\frac{3}{p}+1}_{p,1}} + \sup_{0 < \tau < a} \left[ (\tau)^{\frac{1}{q}} \|v(t+\tau)\|_{\dot{B}^{\sigma}_{p,\infty}} \|v(t+\tau)\|_{\dot{B}^{\frac{3}{p}+1}_{p,1}} \right].$$

Therefore,  $\|v(t)\|_{\dot{B}^{\frac{3}{p+1}}_{p,1}}$  does not blow up at T as long as (1.5) holds. This completes the proof of Theorem 1.6.

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