# A Homotopy Method for Predicting the State of Minimal Energy for Chains of Charged Particles

Daniel M. Dunlavy
Applied Mathematics and Scientific Computation

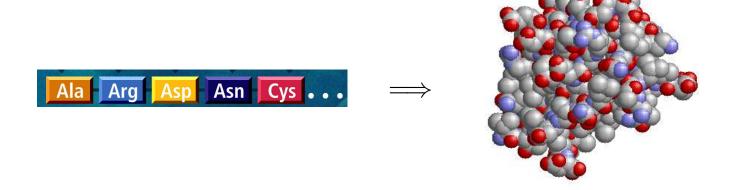
# Acknowledgments

- Dianne O'Leary, CS
- Ron Unger, UMIACS, Bar-Ilan University

## Introduction

### • Protein Folding

- Sequence of amino acids  $\rightarrow$  three-dimensional structure
- Minimum potential energy assumed for native structure

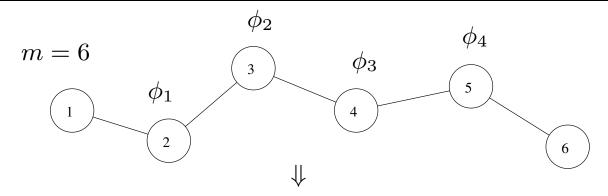


#### • Difficult Goal

- Find structure with minimum potential energy
- Computationally intractable for large proteins

## Formulation of the Problem

Chain of m charged particles with charges  $q_i$  (2D space)



van der Waals Potential

$$E(\phi) = \sum_{i=1}^{m-2} \sum_{j=i+2}^{m} \left[ \frac{q_i q_j}{R_{ij}(\phi)} + \varepsilon_{ij} \left( \left( \frac{\sigma_{ij}}{R_{ij}(\phi)} \right)^{12} - 2 \left( \frac{\sigma_{ij}}{R_{ij}(\phi)} \right)^6 \right) \right]$$

Optimization Problem

$$\min E(\phi)$$

s.t. 
$$0 \le \phi_i \le 2\pi$$
,  $(i = 1, ..., m - 2)$ 

# Solving the Optimization Problem

## • Difficulty

- Many local minima
- Number of minima increases exponentially

## • Classic Approach

- Gradient methods (e.g., steepest descent)
- Good starting approximation needed
- Converges to local minimizer

## • New Approach

- Homotopy method
- Good starting approximation **not** needed
- Improve likelihood of finding global minimizer

# General Homotopy Method

Goal: Solve complicated nonlinear system which may have multiple solutions

$$f(x) = 0,$$
  $(f: \mathbb{R}^n \to \mathbb{R}^n).$ 

## Steps to Solution:

Easy system:  $e(x^0) = 0$   $(x^0 \ known)$ 

Homotopy: 
$$h(x,\lambda) = \begin{cases} e(x), & \lambda = 0\\ f(x), & \lambda = 1 \end{cases}$$
$$e.g., h(x,\lambda) = \lambda f(x) + (1 - \lambda) e(x)$$

Trace Path: Follow  $h(x, \lambda) = 0$  from  $\lambda = 0$  to  $\lambda = 1$ 

## Potential Energy Homotopy

#### Goal:

$$q^* = [q_1^*, \dots, q_m^*] \implies E^*(\phi)$$

$$\min E^*(\phi)$$

$$\text{s.t. } 0 \le \phi \le 2\pi$$

$$\Leftrightarrow \begin{cases} \text{find} & \phi^* \\ \text{s.t. } \nabla E^*(\phi^*) = 0 \\ \nabla^2 E^*(\phi^*) > 0 \\ 0 \le \phi^* \le 2\pi \end{cases}$$

 $q^{0} = [q_{1}^{0}, \dots, q_{m}^{0}] \implies E^{0}(\phi)$ 

#### Homotopy:

$$H(\phi, \lambda) = \nabla \left( \sum_{i=1}^{m-2} \sum_{j=i+2}^{m} \left[ \frac{q_i(\lambda)q_j(\lambda)}{R_{ij}} + \varepsilon_{ij} \left( \left( \frac{\sigma_{ij}}{R_{ij}} \right)^{12} - 2 \left( \frac{\sigma_{ij}}{R_{ij}} \right)^{6} \right) \right] \right)$$

$$= \begin{cases} \nabla E^0(\phi), & \lambda = 0 \\ \nabla E^*(\phi), & \lambda = 1 \end{cases}$$

Tracing 
$$H(\phi, \lambda) = 0$$

$$\phi^{0} = \text{global minimizer of } E^{0}(\phi)$$

$$\lambda_{0} = 0$$

$$k = 0$$

$$\text{repeat until } \lambda_{k} = 1$$

$$k = k + 1$$

$$\lambda_{k} = \lambda_{k-1} + (\Delta \lambda)_{k}$$

$$\phi^{k} \leftarrow \begin{cases} \text{using } \phi^{k-1} \text{ as initial guess} \\ \text{solve } H(\phi, \lambda_{k}) = 0 \end{cases}$$

end

$$\phi^* = \phi^k \quad [H(\phi^k, 1) = \nabla E^*(\phi^k) \approx 0]$$

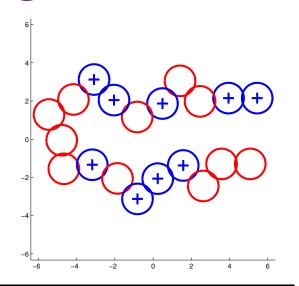
# Example 1 – Negligible Difference

$$m = 20$$

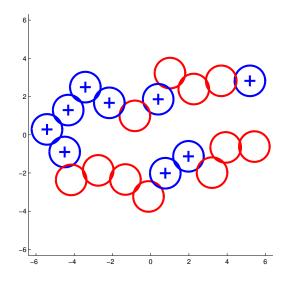
$$q \in \{-1, +1\}$$

$$E^0(\phi) = -22.9708$$

6 changes in q

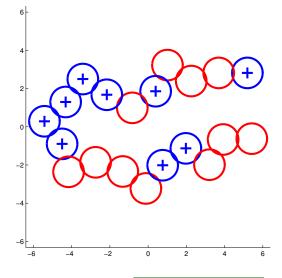


Gradient Method



 $E^*(\phi) = -22.4510$ 

Homotopy Method



$$E^*(\phi) = \boxed{-22.4511}$$

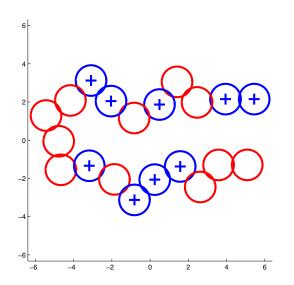
# Example 2 – No Difference

$$m = 20$$

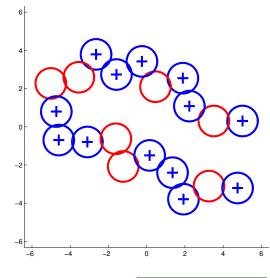
$$q \in \{-1, +1\}$$

$$E^0(\phi) = -22.9708$$

10 changes in q

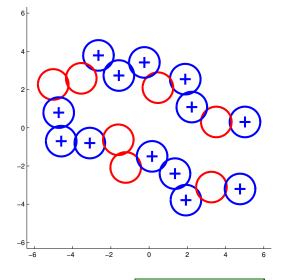


### Gradient Method



$$E^*(\phi) = \boxed{-20.0044}$$

### Homotopy Method



$$E^*(\phi) = |-20.0044|$$

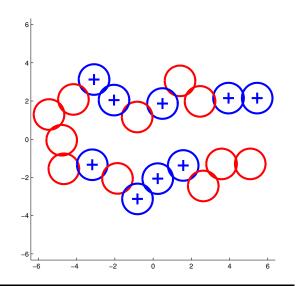
# Example 3 - Qualitative Difference

$$m = 20$$

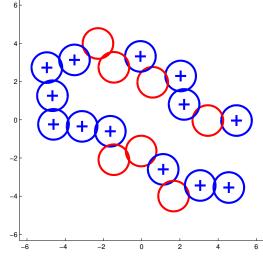
$$q \in \{-1, +1\}$$

$$E^0(\phi) = -22.9708$$

16 changes in q

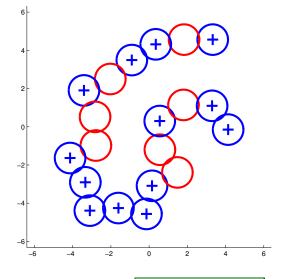


Gradient Method



 $E^*(\phi) = \boxed{-18.8808}$ 

Homotopy Method



 $E^*(\phi) = |-19.4268|$ 

## Conclusions

- Homotopy Method
  - Rivals gradient methods (GM) in accuracy
  - Outperforms GM when many charges change
  - More function evaluations than GM

## Future Work

- Extend ideas to proteins
- Software interface for energy models
  - Models: 2D/3D, Thirumalai/Klimov, AMBER
- Software interface to Protein Data Bank (PDB)
  - PDB  $\rightarrow$  internal format  $\rightarrow$  PDB
- Homotopy tracing algorithm
  - Predictor-corrector: ODE + optimization
  - ODE solvers: Euler, Runge-Kutta, etc.
- Validation of the method
  - Use existing PDB data